		- INTORSED
1	MOTLEY RICE LLC Fidelma L. Fitzpatrick, Esq.	ENDORSED
2	321 S. Main Street Providence, RI 02903	
3	Telephone: (401) 457-7700	2016 JAN 13 P 3 11
4	Fax: (401) 457-7708 ffitzpatrick@motleyrice.com	David H. Yamasılı'ı, Clerk of the Construct Court County of Servia Clara, Carlinana
5	Carmen C. Scott, Esq.	Br Destri Chris
6	Breanne V. Cope, Esq. [#260217] Hayleigh T. Stewart Santra	
7	28 Bridgeside Blvd.	
8	Mt. Pleasant, SC 29464 Telephone: (843) 216-9000	
	Facsimile: (843) 216-9450 cscott@motleyrice.com	
9	bcope@motleyrice.com	Flore Flore
10	hstewart@motleyrice.com	by Fax
11	Attorneys for PLAINTIFF DESIREA HARVEY	
12	IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA COUNTY OF SANTA CLARA- UNLIMITED JURISDICTION	
13		_
14	Desirea Harvey, an individual,) CASE NO. 16 C V 290 0 7
15	Plaintiff, ') COMPLAINT FOR DAMAGES
16	vs.) AND DEMAND FOR JURY) TRIAL
17	BAYER, CORP., an Indiana corporation;) (1) Negligent Failure to Warn
18	BAYER HEALTHCARE LLC, a Delaware) (2) Negligence in Manufacturing
19	corporation; BAYER ESSURE®, INC., (F/K/A CONCEPTUS, INC.) a Delaware corporation:	(3) Negligence / Negligence Per Se(4) Strict Products Liability – Failure
20	BAYER HEALTHCARE) to Warn and Manufacturing
	PHARMACEUTICALS, INC., a Delaware corporation; BAYER A.G., a German) Defect) (5) Negligent Misrepresentation
21	corporation; and DOES 1-10, inclusive) (6) Fraudulent Concealment
22	Defendants and DOES 1-100, inclusive,	(7) Fraudulent/Intentional Deceit(8) Violations of Business &
23	Defendants.) Professions Code §17200, Et Seq.
24) (9) Violations of Business &
		Professions Code §17500, Et Seq. (10) Violations of Cal. Civil Code
25		§1750
26		
27	COMES NOW Plaintiff Desires Homes	and files this Complaint seeking judgment against
28		
	HDetendants BAYEK CORP. BAYER UFALT	THEARE LICE BAVER ESSURE® INC. (E/K/A

CONCEPTUS, INC.); BAYER HEALTHCARE PHARMACEUTICALS, INC; BAYER A.G and DOES 1 through 10 inclusive, (hereinafter collectively referred to as "Defendants" or "Bayer") for personal injuries suffered as a result of Plaintiff Desirea Harevy (hereinafter "Plaintiff") being prescribed and implanted with the defective and unreasonably dangerous product Essure[®]. At all times relevant hereto, Essure[®] was manufactured, designed, formulated, tested, packaged, labeled, produced, created, made, constructed, assembled, marketed, advertised, promoted, distributed, and sold by Defendants or by Conceptus, Inc. which merged with Bayer on or about April 28, 2013.

I. PARTIES, JURISDICTION AND VENUE

- 1. The Court has personal jurisdiction over the Defendants because Plaintiff and Defendant Bayer Essure[®], Inc. (f/k/a Conceptus, Inc.) are residents of and/or doing business in the State of California and a substantial part of the events giving rise to Plaintiff's claims occurred, in part, in California, including the design, formulation, testing, packaging, labeling, production, creation, construction, assembly, advertising, clinical testing, marketing, and manufacturing of the Essure[®] system.
- 2. Venue is proper in this county in accordance with Section 395(a) of the California Code of Civil Procedure because the Defendants are at home in this county.
- 16 3. At all times relevant hereto, Plaintiff is and was a citizen and resident of Sacramento County, California.
 - 4. Defendant BAYER CORP. is a for-profit corporation incorporated in the state of Indiana and is a wholly-owned subsidiary of Bayer A.G. Defendant is authorized to and does business throughout the state of California.
- Defendant BAYER HEALTHCARE LLC is a for-profit corporation incorporated in the state of Delaware and is a wholly-owned subsidiary of Bayer A.G. Defendant is authorized to and does business throughout the state of California.
 - 6. Defendant BAYER ESSURE® INC. (F/K/A CONCEPTUS, INC.) is a for-profit corporation incorporated in the state of Delaware, and is a wholly-owned subsidiary of Bayer A.G and/or Bayer HealthCare LLC. Conceptus, Inc. ("Conceptus") was founded by Julian Nikolchev, a self-described "medical technology developer and serial entrepreneur," in 1992. On or about April 28, 2013, Conceptus, Inc. entered into an Agreement and Plan of Merger (the "Merger Agreement") with Bayer

HealthCare LLC. On or about June 5, 2013, pursuant to the Merger Agreement, Conceptus, Inc. became a wholly-owned subsidiary of Bayer HealthCare LLC and/or Bayer A.G., and thereafter renamed "Bayer Essure[®] Inc." For purposes of this Complaint, Conceptus, Inc. and Bayer Essure[®] Inc. are one and the same. Bayer Essure[®] Inc.'s headquarters are located at 331 East Evelyn Avenue, Mountain View, California 94041. Defendant is authorized to and does business throughout the state of California.

- 7. Defendant BAYER HEALTHCARE PHARMACEUTICALS, INC. is a for-profit corporation incorporated in the state of Delaware and is a wholly owned subsidiary of Bayer AG. Defendant is authorized to and does business throughout the state of California.
- 9 8. Defendant BAYER A.G. is a German for-profit corporation. Defendant is authorized to and does business throughout the state of California through its wholly owned subsidiaries.
 - 9. The true names and capacities of those defendants designated as DOES 1-10, whether individual, corporate, associate or otherwise, are unknown to Plaintiff at the time of filing this Complaint and Plaintiff, therefore, sues said defendants by such fictitious names and will ask leave of Court to amend this Complaint to show their true names or capacities when the same have been ascertained. Plaintiff is informed and believes, and thereon alleges, that each of the DOE defendants is, in some manner, responsible for the events and happenings herein set forth and proximately and/or directly caused injury and damages to Plaintiff as herein alleged.

II. DESCRIPTION OF ESSURE®

- 10. Essure[®] is a Class III medical device manufactured, designed, formulated, tested, packaged, labeled, produced, created, made, constructed, assembled, marketed, advertised, promoted, distributed, and sold by Defendants.
- 11. Essure[®] was first manufactured, designed, formulated, tested, packaged, labeled, produced, created, made, constructed, assembled, marketed, advertised, promoted, distributed, and sold by Conceptus, Inc. and initially developed under the name Selective Tubal Occlusion Procedure or "S/TOPTM" Permanent Contraception device.
- 12. Essure[®] is a form of permanent female birth control (female sterilization). The device is intended to cause bilateral occlusion (blockage) of the fallopian tubes by the insertion of micro-inserts into the fallopian tubes which are supposed to anchor and then elicit tissue growth creating the blockage of the

fallopian tubes.

- 2 | 13. Essure® consists of three components: (1) two micro-inserts; (2) a disposable delivery system; 3 | and (3) a disposable split introducer. All components are intended for single use.
 - 14. The micro-inserts are comprised of two metal coils, made of nitinol (nickel and titanium), steel, and PET fibers, which are placed in a woman's fallopian tubes via Defendants' disposable delivery system and under hysteroscopic guidance (camera).
 - 15. Defendants' disposable delivery system consists of a single handle which contains a delivery wire, release catheter, and delivery catheter. The micro-inserts are attached to the delivery wire. The delivery handle controls the device, delivery, and release. Physicians are allowed to visualize this complicated process through the hysteroscopic equipment provided by Defendants.
 - 16. After placement of the coils in the fallopian tubes by Defendants' disposable delivery system, the micro-inserts expand upon release and anchor into the fallopian tubes. Defendants claim that the coils allegedly elicit tissue growth, blocking off the fallopian tubes.
 - 17. The coils are alleged to remain securely in place in the fallopian tubes for the life of the patient.
 - 18. Three months post implant, patients are to receive a "Confirmation Test" to determine that the coil micro-inserts have created a complete occlusion in each fallopian tube. The Confirmation Test used is a hysterosalpingogram ("HSG Test").
 - 19. Defendants have stated that the HSG is "often painful" and "is also known to be highly inaccurate, with false-positive results in as many as 40% of HSG-diagnosed cases of proximal tubal occlusion ("PTO"). Various factors are believed to be responsible for these false indications of tubal occlusion, including tubal spasm (a natural function of the tubes) and a build-up in the tube of natural cellular debris and mucous."
- 23 | 20. Regardless of the Confirmation Test, Defendants also claim that Essure® allows for visual confirmation of each insert's proper placement during the procedure.
 - 21. Essure® was designed, manufactured, and marketed to be used by gynecologists throughout the world, as a "quick and easy" outpatient procedure that did not require general anesthesia and had a quick recovery time. Defendants claimed that Essure® "will allow many tubal therapies for . . . permanent contraception which are currently performed surgically to be performed transcervically, thereby

- reducing the cost, trauma and recovery time associated with those therapies."
- 2 | 22. Defendants provided training to physicians on how to use the Essure® system and other
- 3 || hysteroscopic equipment, including Plaintiff's implanting physician.
- 4 | 23. In April 2002, Conceptus submitted its Premarket Approval Application to the United States
- 5 | Food and Drug Administration ("FDA") for the Essure® device.
- 6 | 24. Premarket Approval ("PMA") is the FDA process of scientific and regulatory review to evaluate
- 7 | the safety and effectiveness of Class III medical devices. See 21 U.S.C. § 515(b); 21 CFR § 814.3(e).
- 8 | According to the FDA, Class III devices are those that support or sustain human life, are of substantial
- 9 | importance in preventing impairment of human health, or which present a potential, unreasonable risk of
- 10 | illness or injury.

- 11 | 25. A PMA application must contain certain information which is critical to the FDA's evaluation of
- 12 || the safety and efficacy of the medical device at issue. A PMA and/or PMA Supplement application
- 13 | must provide:

14

15

16

17

18

19

20

22

- a. proposed indications for use;
- b. device description including the manufacturing process;
- c. any marketing history;
- d. summary of studies (including non-clinical laboratory studies, clinical investigations
 - involving human subjects, and conclusions from the study that address benefit and risk
 - considerations);
 - e. methods used in manufacturing the device, including compliance with current good
- 21 | manufacturing practices; and
 - f. information relevant to an evaluation of the safety and effectiveness of the device known
- or that should reasonably be known to the manufacturer from any source, including
- 24 commercial marketing experience.
 - 26. On November 4, 2002, the FDA conditionally approved Conceptus' Essure PMA application.
- 26 | 27. According to the FDA, a Class III device that fails to meet the Conditional Premarket Approval
- 27 ("CPMA") requirements after marketing is considered to be adulterated under § 501(f) of the Federal
- 28 | Food, Drug and Cosmetic Act ("FDCA") and cannot continue to be marketed.

- 28. In the CPMA Order issued by the FDA, the FDA expressly stated that "[f]ailure to comply with the conditions of approval invalidated this approval order." The following were the conditions of the CPMA for Essure[®]:
 - a. "[e]ffectiveness of Essure® is established by annually reporting on the 745 women who took part in clinical tests."
 - b. "[s]uccessful bilateral placement of Essure® is documented for newly trained physicians."
 - c. "[w]ithin 10 days after [Defendant] received knowledge of any adverse reaction to report the matter to the FDA."
 - d. "[r]eport to the FDA whenever it received information from any source that reasonably suggested that the device may have caused or contributed to a serious injury,"
 - e. warranties and representations concerning the products are truthful, accurate and not misleading; and
 - f. warranties and representations concerning the product are consistent with applicable Federal and State law.
- 29. In addition to the requirements set forth in the CPMA, Defendants are required to comply with all FDA requirements for Class III medical devices, including, but not limited to:
 - a. report to the FDA information suggesting that one of the Manufacturer's devices may have caused or contributed to a death or serious injury, or has malfunctioned and would be likely to cause death or serious injury if the malfunction were to recur, 21 CFR §§ 803.50 et seq.;
 - b. monitor the product after pre-market approval and to discover and report to the FDA any complaints about the product's performance and any adverse health consequences of which it became aware and that are or may be attributable to the product, 21 CFR §§ 814 et seq.;
 - c. submit a PMA Supplement for any change in Manufacturing Site, 21 CFR §§ 814.39 et seq.;
 - d. establish and maintain quality system requirements to ensure that quality requirements are met, 21 CFR § 820.20 et seq.;

8

11

12

14

15

17

18

19 | 20 |

21

22

25

26

27

28

e. establish and maintain procedures for validating the device design, including testing of production units under actual or simulated use conditions, creation of a risk plan, and conducting risk analyses, 21 CFR §§ 820.30 et seq.;

- f. document all Corrective Action and Preventative Actions taken by the Manufacturer to address non-conformance and other internal quality control issues, 21 CFR §§ 820.100 et seq.;
- g. establish internal procedures for reviewing complaints and event reports, 21 CFR §§ 820.198, §§ 820.100 et seq. and §§ 820.20 et seq.;
- h. establish Quality Management System (QMS) procedures to assess potential causes of non-conforming products and other quality problems, 21 CFR §§820.70 et seq. and 21 CFR §§ 820.90 et seq.;
- i. report on Post Approval Studies in a timely fashion, 21 CFR §§ 814.80 et seq.; and
- j. advertise product accurately and truthfully, 21 CFR §§ 801 et seq.
- 30. As presented below, Defendants failed to comply with several of the aforementioned conditions of the CPMA and federal regulations and requirements, thereby invalidating the CPMA under the FDCA.
- 31. By failing to comply with several CPMA conditions and federal regulations and requirements prior to implant into Plaintiff, Essure® was also considered to be an "adulterated" device under § 501(f) of the FDCA and cannot be marketed per the FDA. 21 U.S.C. §§ 351(h); 21 CFR §§ 814.80 et seq. However, Defendants have continued to market the product to the present.
- 32. In June and July of 2003, the FDA conducted a six day inspection of Conceptus' San Carlos headquarters.
- 23 33. During the six day inspection, the FDA documented two (2) conditions which it found objectionable and/or constituted violations of the FDCA and federal regulations and requirements.
 - 34. The two objectionable conditions were communicated to Conceptus by the FDA via a Form 483 dated July 7, 2003, and included: (1) Conceptus' failure to analyze all data from quality sources to identify existing and potential causes of nonconforming product and other quality problems related to the Essure® device; and (2) Conceptus' failure to follow procedures to control products that do not

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

- 36. Subsequent to obtaining its CPMA, Conceptus became aware of potential quality and failure modes associated with the Essure[®] devices. For example, Conceptus became aware that the following failures could occur with the device and lead to adverse consequences for the patient:
 - a. the stainless steel used in Essure® can become un-passivated, which allows it to rust;
 - b. the nitinol could have a nickel rich oxide, which the body attacks;
 - c. the no lead solder could in fact have trace lead in it;
 - d. the Galvanic action between the metals used to manufacture Essure[®], which causes the encapsulation of the product within the fallopian tubes, could be a continuous irritant to some patients;
 - e. the nitinol in the device can degrade due to High Nickel Ion release, increasing the toxicity of the product for patients;
 - f. latent manufacturing defects, such as cracks, scratches, and other disruption of the smooth surface of the metal coil, may exist in the finished product, causing excess nickel to leach into the surrounding tissues after implantation;
 - g. degradation products of the PET used in the implant can be toxic to patients, inciting both chronic inflammation and possible autoimmune issues;
 - h. the mucosal immune response to nickel is different than the immune response in non-mucosal areas of the body.
- 37. Upon obtaining knowledge of these potential device failure modes, the Defendants were required under 21 CFR §§820.30 et seq., 21 CFR §§ 820.100 et seq. and the FDA Recognized Consensus Standard ISO 14971 to use this information to routinely update the risk analyses for the Essure® device and take any and all Corrective Action and Preventative Actions ("CAPA") necessary to address non-

- 1 conformance and other internal quality control issues. Furthermore, Defendants were required to
- 2 | establish Quality Management Systems ("QMS") procedures to assess potential causes of non-
- 3 conforming products and other quality problems with the products, such as latent manufacturing defects.
- 4 | 21 CFR §§ 820.70 et seq.; 21 CFR §§ 820.30 et seq. Defendants failed to comply with these and other
- 5 | federal regulations and requirements, thereby jeopardizing the health of patients, including Plaintiff.
- 6 | 38. In November or December 2005, Conceptus moved its manufacturing facility from San Carlos,
- 7 | California to Mountain View, California. It did not file a PMA Supplement with the FDA to advise it of
- 8 || the change in manufacturing site in violation of its post-marketing duties under 21 CFR § 814.39.
- 9 | 39. On June 10 and 11, 2008, the California Department of Public Health, Medical Device Safety
- 10 | Section ("CDPH"), conducted an inspection of Conceptus' 331 East Evelyn Avenue location in
- 11 | Mountain View, California.
- 12 | 40. During this inspection the CDPH issued a Notice of Violation to Conceptus for: (1) failing to
- 13 || obtain a valid license to manufacture medical devices after Conceptus moved from its previous location
- 14 | in 2005; and (2) failing to maintain its procedure for inventory transfer.
- 15 | 41. These conditions violated the conditions of the Essure® CPMA and federal regulations and
- 16 | requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR
- 17 | §§ 814.39; and 21 CFR §§ 820.70 et seq.
- 18 | 42. On or about December 2010, the FDA conducted a fifteen day "For Cause" inspection. The
- 19 | purpose of the inspection was to investigate a specific problem that had come to FDA's attention.
- 20 | 43. During the fifteen day For Cause Inspection, the FDA noted four conditions which it found
- 21 || objectionable and/or constituted violations of the FDCA and federal regulations and requirements. The
- 22 | four objectionable conditions were communicated to Conceptus by the FDA via a Form 483 dated
- 23 | January 6, 2011, and included:

27

- a. Conceptus' failure to submit Medical Device Reporting ("MDR") determinations to the
- 25 | FDA within 30 days for reports of a serious injury involving the Essure[®] device including
 - two reports of bowel perforation, and one report of pain and the Essure[®] device breaking
 - into pieces immediately following implant;
 - b. Conceptus' failure to submit MDR's to the FDA within 30 days for reports of a serious

7

15

16

17

18 19

20

21 22

24

23

26

25

- injury involving the Essure[®] device including five reports of the Essure[®] coils perforating the fallopian tubes and penetrating the peritoneal cavity;
- Conceptus' failure to include perforation of the Essure® micro-coil insert into the c. peritoneal cavity in its Design Failure Mode Effects Analysis (DFMEA) for Essure[®], despite having documented at least 508 complaints of perforation involving the Essure® device; and
- d. Conceptus' failure to adequately document in a CAPA an incident involving the erroneous use of uncertified material by Conceptus' contract manufacturer in a validation protocol.
- These actions violated the conditions of the Essure® CPMA and federal regulations and 44. requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR §§ 803.50 et seq; 21 CFR §§ 814 et seq; 21 CFR §§ 820.30 et seq; and 21 CFR §§ 820.198; 21 CFR §§ 820.100 et seq; and 21 CFR §§ 820.20.
- 45. In May and June 2013, the FDA conducted another inspection that included an evaluation of Conceptus'/Bayer's complaint handling and adverse event reporting practices. As part of the inspection process, part of the FDA's review focused on 16,047 complaints Conceptus received on the Essure® device between January 2011 and the date of the inspection, only 183 of which were reported by Defendants to the FDA as MDRs.
- These actions violated the conditions of the Essure® CPMA and federal regulations and 46. requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR §§ 803.50 et seq; and 21 CFR §§ 820.198; 21 CFR §§ 820.100 et seq.; and 21 CFR §§ 820.20 et seq.
- Conceptus also failed to timely submit Post-Approval Studies under the Essure® CPMA. The six 47. month report was due on August 24, 2012 but was not received by the FDA until December 14, 2012; the one year report was due February 23, 2013 but was not received by the FDA until March 8, 2013; and the eighteen month report due August 24, 2013 but was not received by the FDA until September 12, 2013.
- These actions violated the conditions of the Essure® CPMA and federal regulations and 48. requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR

§§ 814.80 et seq.

- 49. The FDA also requires that upon purchase of a company holding a CPMA, the CPMA sponsor "must submit a PMA amendment to notify the FDA of the new owner... The... supplement should include: the effective date of the ownership transfer; a statement of the new owner's commitment to comply with all the conditions of approval applicable to the PMA; and either a statement that the new owner has a complete copy of the PMA including all amendment, supplements, and reports or a request for a copy from the FDA files."
- 50. However, no PMA supplement notifying the FDA of Conceptus' (and the Essure® CPMA's) change of ownership after Conceptus was acquired by Defendants was submitted. These actions violated the conditions of the Essure® CPMA and federal regulations and requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR §§ 814.39 et seq.
- 51. Defendants also violated §§ 502(q) and (r) of the FDCA by engaging in false and misleading advertising of Essure[®].
- Defendants continued to sell its product with misleading and false advertising in violation of the conditions of the CPMA and federal regulations and requirements. The marketing campaign for Essure® was described as follows: "Through the use of public relations and targeted advertising, we intend to increase awareness of Essure® among consumers, general practitioners and the broader medical community. In April 2003, we presented Essure® at the annual conference of the American College of Obstetricians and Gynecologists. At this meeting, we had two presentations and there was a Continuing Medical Education, or CME, accredited symposium with Essure® as the main topic. In early June 2003, we commenced a direct mail campaign to 500,000 women in the Atlanta and Chicago areas, with the goal of encouraging these women to contact our call center for additional information. In turn, our call center has the ability to offer a referral to a practicing Essure® physician in a consumer's area. We had also conducted regional advertisement in a variety of magazines, such as *Parents* and *Self*."
- 53. In addition, Defendants operated websites for "physicians and patients" and "established a call center for patients that are seeking additional information about Essure® and who wish to be referred to physicians that are trained to perform the Essure® procedure. Physicians that we refer our patients to are

those that have chosen to participate in our Essure[®] Accredited Practice program aimed at providing an optimal patient experience."

- 54. Defendants advertised, promoted and marketed on its website, in its print and/or video advertisements, brochures and fact sheets the following representations about Essure[®]:
 - a. "[o]nly FDA approved female sterilization procedure to have zero pregnancies in the clinical trials" or words to that effect. However, there were actually four pregnancies during the clinical trials and five pregnancies during the first year of commercial experience. Additionally, several pregnancies have been reported subsequent to Essure® implantation. Between 1997-2005, 64 pregnancies were reported to Defendants. Adverse Event Report ESS 205 dated October 3, 2006 evidences a pregnancy after the three-month Confirmation Test was confirmed. Furthermore, a recent study indicates that women implanted with Essure® have a ten times greater risk of pregnancy after one year than those who use laparoscopic sterilization. At ten years, the risk of pregnancy is almost four times greater. Defendants concealed this information from patients, including Plaintiff;
 - b. that Essure® was a "[s]urgery-free" permanent birth control option or words to that effect. However, Essure® is not "surgery-free." All Essure® procedures are done under hysteroscopy, which is a surgical procedure. Defendants concealed this information from patients, including Plaintiff;
 - c. "[w]orry free," is a "simple procedure performed in your doctor's office" that takes "less than 10 minutes" and "requires no downtime for recovery" and "Essure® eliminates the risks, discomfort, and recovery time associated with surgical procedures" or words to that effect. However, Defendants actively concealed and failed to report complaints of perforations and pain which occurred as a result of Essure® as noted above. Essure® can cause women serious, life-altering complications including but not limited to debilitating pain, heavy bleeding necessitating medication and/or additional surgical procedures, allergic reactions (including but not limited to rashes, itching, bloating, swelling, headaches, and hair loss), autoimmune disorders, dyspareunia, hysterectomy, and other

complications. Defendants concealed this information from patients, including Plaintiff;

- d. "[t]he Essure® inserts stay secure, forming a long protective barrier against pregnancy. They also remain visible outside your tubes, so your doctor can confirm that they're properly in place" or words to that effect. However, the micro-inserts do not necessarily remain secure and can migrate and be expelled by the body, as evidenced by the multiple complaints concerning perforation. Defendants concealed this information from patients, including Plaintiff;
- e. "[t]he Essure® inserts are made from the same trusted, silicone free material used in heart stents" or words to that effect. However, the micro-inserts are not made from the same material as heart stents and do not elicit tissue growth. Specifically, the micro-inserts are made of PET fibers which trigger inflammation and scar tissue growth. PET fibers are not designed or manufactured for use in human implantation. Moreover, Defendants also warranted: "the long-term nature of the tissue response to the Essure® micro-insert is not known." However, the PET fibers are made of the same materials as the PVT material in some vaginal meshes which have a high rate of expulsion. The Essure® inserts also contain nickel, which can cause severe reactions in patients. Defendants concealed this information from patients, including Plaintiff;
- f. "Essure® eliminates the risks, discomfort, and recovery time associated with surgical procedures." However, Essure® is not "surgery-free" and can cause women serious, lifealtering complications including but not limited to debilitating pain, heavy bleeding necessitating medication and/or additional surgical procedures, allergic reactions (including but not limited to rashes, itching, bloating, swelling, headaches, and hair loss), autoimmune disorders, dyspareunia, hysterectomy, and other complications. Defendants concealed this information from patients, including Plaintiff;
- g. "Essure® is the most effective permanent birth control available-even more effective than tying your tubes or a vasectomy" or words to that effect. Yet, Defendants' SEC Form 10-K filing shows that Defendants never did a comparison to a vasectomy or tubal ligation.

 Defendants stated, "We did not conduct a clinical trial to compare the Essure® procedure

- to laparoscopic tubal ligation." Defendants concealed this information from patients, including Plaintiff; and
- h. "[c]orrect placement...is performed easily because of the design of the microinsert" or words to that effect. However, Defendants admitted that placement of the device requires a "skilled approach" and even admitted that their own experts in hysteroscopy (as compared to general gynecologists not on the same level as an expert hysteroscopist) failed to place the micro-inserts in one out of seven clinical participants. Defendants concealed this information from patients, including Plaintiff.
- 55. Defendants advertised, promoted and marketed on its websites, in its print and/or video advertisements, brochures, and fact sheets the following about physicians performing the Essure® procedure:
 - a. "[p]hysicians must be signed-off to perform Essure® procedure" or words to that effect.

 However, Defendants failed to adequately train the implanting physician and "signed-off" on the implanting physician who did not have the requisite training. Defendants concealed this information from patients, including Plaintiff.
 - b. "an Essure® trained doctor inserts spring-like coils, called micro-inserts..." or words to that effect. However, the implanting physician who implanted the device was not adequately trained. Defendants concealed this information from patients, including Plaintiff.
 - c. "the Essure® training program is a comprehensive course designed to provide information and skills necessary to select appropriate patients, perform competent procedures and manage technical issues related to the placement of Essure® micro-inserts for permanent birth control" or words to that effect. However, Defendants failed to adequately train the implanting physician. Defendants concealed this information from patients, including Plaintiff;
 - d. "[i]n order to be trained in Essure® you must be a skilled operative hysteroscopist. You will find the procedure easier to learn if you are already proficient in operative hysteroscopy and management of the awake patient. If your skills are minimal or out of

date, you should attend a hysteroscopy course before learning Essure[®]" or words to that effect. However, Defendants "signed off" on physicians who were not skilled operative hysteroscopists, in order to monopolize and capture the market, including the implanting physician. Defendants concealed this information from patients, including Plaintiff;

- e. "[i]n order to be identified as a qualified Essure® physician, a minimum of one Essure® procedure must be performed every 6-8 weeks" or words to that effect. However, Defendants "signed off" on "Essure® physicians" who did not perform the procedure every 6-8 weeks. Defendants concealed this information from patients, including Plaintiff; and
- f. "[t]he PET fibers are what caused the tissue growth," and Essure® "works with your body to create a natural barrier against pregnancy" or words to that effect. However, during the PMA meeting with the FDA in 2002, Defendants represented that the trauma caused by the expanding coil striking the fallopian tubes is what causes the inflammatory response of the tissue. Defendants concealed this information from patients and the public, including Plaintiff.
- 56. On September 24 and 25, 2015, the FDA convened a public hearing concerning the safety and efficacy of the Essure® device. At that public hearing, Defendants testified as follows:
 - a. the efficacy rates for Essure[®] are 99.6%; in reality, studies show that the chances of becoming pregnant with Essure[®] are higher than with tubal ligations and higher than the rates reported by Bayer to the FDA at the public hearing;
 - b. skin patch testing is not a reliable predictor of clinically significant reactions to nickel-containing implantable devices, including Essure[®]; despite this, Bayer told physicians and patients that a nickel sensitivity test was sufficient to determine whether a patient was a suitable candidate for an Essure[®] device;
 - c. as an alternative to Essure[®], laparoscopic tubal ligation is a safe and effective method of permanent birth control; in reality, studies show that the chances of becoming pregnant with Essure[®] are higher than with tubal ligations, and Essure[®] patients are much more likely to require additional surgeries to correct complications associated with the

22 23

sterilization procedure; and

d. most of the reports of adverse events to the FDA have come from consumers and not Defendants, which is unusual; in reality, Bayer's failure to file MDR's and to report to the FDA the complaints that were not addressed by the device's labeling or complaints that were occurring with an unexpected increase in severity and frequency from the more than 16,000 complaints that it has received violated the CPMA and the FDA postmarketing regulations, which prevented Plaintiff, physicians and the public from understanding the true nature of Essure®'s adverse events.

57. At all relevant times, Defendants' Essure® product was prescribed and used as intended by Defendants and in a manner reasonably foreseeable to Defendants.

III. PLAINTIFF'S HISTORY

- 58. On September 19, 2011, Desirea Harvey sought care for permanent birth control. Mrs. Harvey was offered the Essure[®] procedure and received an Essure[®] brochure as well as viewed a pelvic model with Essure[®] device in place at her doctor's office.
- 59. Mrs. Harvey relied on the representations made in the Essure[®] brochure in reaching her decision to have the Essure[®] procedure.
- 60. On November 1, 2011, Mrs. Harvey underwent the Essure® procedure at San Juan Medical Center in Carmichael, California. During the procedure, Mrs. Harvey experienced significant pelvic pain.
- 61. Following the Essure® procedure, Mrs. Harvey began to experience excruciating right sided abdominal and pelvic pain. This pain was more intense in the days prior to and throughout her menstrual cycle and often incapacitates Mrs. Harvey causing her to miss work or rendering her incapable of caring for her children. Mrs. Harvey also began experiencing longer and heavier menstrual cycles. migraine headaches, urinary tract infections, and dyspareunia.
- 62. Mrs. Harvey applied for and was employed at a retail store in February 2015. However, she was let go after only three months because of her absences due to the incapacitating pain she experiences.
- 63. Mrs. Harvey has been advised by her health career provider that she may require a total hysterectomy and removal of the Essure® devices.

64. Plaintiff did not have knowledge of facts that would lead a reasonable, prudent person to inquire or discover Defendants' tortious conduct. Under appropriate application of the discovery rule, Plaintiff's suit was filed well within the applicable statutory limitations period.

- 65. Defendants' misconduct and fraudulent concealment of the relevant facts deprived Plaintiff and her physicians of vital information essential to the pursuit of these claims, without any fault or lack of diligence on their part. Plaintiff could not reasonably have known or become aware of facts that would lead a reasonable, prudent person to make an inquiry to discover Defendants' tortious conduct. Defendants' misconduct and fraudulent concealment of the relevant facts, as described *infra*, tolls any relevant statute of limitations. Under appropriate application of the discovery rule, Plaintiff's suit is filed well within the applicable statutory limitations period.
- 66. Defendants are and were under a continuing duty to disclose the true character, quality, and nature of Essure[®]. Because of Defendants' misconduct and fraudulent concealment of the true character, quality, and nature of its device, Defendants are estopped from relying on any statute of limitations defense.

FIRST CAUSE OF ACTION

STRICT PRODUCTS LIABILITY

- 67. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:
- 68. After obtaining their CPMA, Defendants owed the public, including Plaintiff, a duty to comply with the CPMA, federal regulations and requirements, and to use reasonable care in, *inter alia*, testing and inspecting their product, in monitoring and assessing the design of the Essure[®] devices placed into Plaintiff and accompanying implantation equipment, and in manufacturing and marketing Essure[®] according to the terms of the CPMA, its Supplements, the Conditions of Approval, and the federal regulations and requirements.
- 69. Because Defendants did not comply with specifications and protocols set forth in the requirements, federal regulations, PMA, Supplements, and/or the Conditions of Approval, Defendants manufactured a defective product. This failure results in a manufacturing defect that renders the device unreasonably dangerous for its intended use and Plaintiff could not have anticipated the danger the

defect in this product created.

- 70. This defect was present in the device when it left the hands of the manufacturer and the device was ultimately used for the purpose in the manner for which it was normally intended. The manufacturing flaws in the Essure® were a primary and substantial cause of Plaintiff's injuries. Neither Plaintiff nor any of her treating medical professionals could have discovered the defects in time to avert her injury or prevent her damages.
- 71. The Essure[®] product was defective at the time of its sale and distribution, and at the time it left the possession of the Defendants, in that the product differed from the Defendants' intended result and intended design and specifications, and from other ostensibly identical units of the same product line.
- 72. Defendants violated federal law in the manufacture of Essure[®] and were cited by the FDA for violations of federal requirements, including, *inter alia*:
 - a. failing to report and actively concealing 8 perforations which occurred as a result of Essure®;
 - b. using non-conforming material in the manufacturing of Essure[®];
 - c. failing to use pre-sterile and post-sterile cages;
 - d. manufacturing Essure® at an unlicensed facility;
 - e. manufacturing Essure® for three years without a license to do so;
 - f. failing to report complaints in which Essure® migrated;
 - g. failing to report to the FDA incidents of bowel perforation, Essure® coils breaking into pieces and migrating out of the fallopian tubes;
 - h. failing to report these complaints in their risk analysis for the design of Essure[®];
 - i. failing to have a complete risk analysis for Essure®;
 - failing to analyze or identify existing potential causes of non-conforming product and other quality problems;
 - k. failing to track the non-conforming product;
 - failing to follow procedures used to control products which did not conform to specifications;
 - m. failing to have complete Design Failure Analysis;

- failing to document CAPA activities for a supplier correction action; n.
- failing to disclose 16,047 complaints to the FDA as Medical Device Reports; and o.
- 3
- failing to provide the FDA with timely post-approval reports for its six months, one year, p. eighteen month, and two-year report schedules.

Defendants violated parallel California law by failing to comply with applicable federal

Upon information and belief, the Essure® manufactured and sold by Defendants and implanted

At all relevant times, Defendants' Essure® product was prescribed and used as intended by

At all times relevant to this action, the dangerous propensities of Essure® were known to

The Essure® manufactured, designed, marketed, and sold by Defendants was expected to, and

Defendants knew that physicians and other healthcare providers began commonly prescribing

Defendants failed to adequately visually inspect Essure® after completion of assembly and

Upon information and belief, when Essure® was manufactured, Defendants had the technological

19

this product as a safe and effective contraceptive device despite its lack of efficacy and potential for

Defendants or were reasonably and scientifically knowable to them, through appropriate research and

testing by known methods, at the time they distributed, supplied, or sold the device, and not known to

into Plaintiff was defective in manufacture because it did not comply with Defendants' own design

specifications, used non-conforming material, and deviated from seemingly identical products from the

- 4
- 5

73.

the manufacture, design and/or formulation.

serious severe and permanent side effects.

immediately before delivery to Plaintiff.

knowledge of an expert in the field.

- 6
- regulations and placing the Essure® product into the stream of commerce in a defective and
- 7 unreasonably dangerous condition such that the foreseeable risks exceeded the benefits associated with
- 8
- 9 74.
- 10
- 11
- 12

same product line.

- 13

75.

76.

77.

78.

79.

- 14
- 15
- 16
- 17
- 18
- 19
- 20
- 21
- 22
- 23 24
- 25
- 26
- 80. capability to design and manufacture Essure® in a reasonably safe manner and is held to the level of 27
- 28

Defendants and in a manner reasonably foreseeable to Defendants.

ordinary physicians who would be expected to prescribe Essure[®] for their patients.

did, reach Plaintiff without substantial change in the condition in which it was sold.

- Defendants were entitled to withdraw Essure® from the market at any time or provide adequate 1 81. 2 warnings to consumers and the medical community, but failed to do so in a timely and responsibly
- 3 manner.
- Essure[®], which was manufactured, distributed, tested, sold, marketed, advertised, and 4 82.
- 5 represented defectively by Defendants was a substantial contributing factor in bringing about Plaintiff's
- injuries and would not have occurred but for the use of Essure[®]. 6
- 7 83. The defective warnings were a substantial contributing factor in bringing about the injuries to
- 8 Plaintiff that would not have occurred but for the use of Essure®.
- As a proximate result of the Essure[®]'s defective condition at the time it was sold, Plaintiff 9 84.
- 10 suffered and will continue to suffer severe physical injuries, severe emotional distress, mental anguish,
- 11 economic losses and other damages for which she is entitled to compensatory and other damages in an
- 12 amount to be proved at trial.
- 13 85. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

15

16

- **NEGLIGENT FAILURE TO WARN**
- 17 Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if 86.

SECOND CAUSE OF ACTION

- 18 fully set forth here and further alleges as follows:
- 19 87. Defendants designed, formulated, tested, packaged, labeled, produced, created, made,
- 20 constructed, assembled, advertised, manufactured, sold, distributed, marketed, and promoted Essure[®],
- including the Essure® devices that were implanted into Plaintiff. 21
- 22 88. The FDCA requires medical device manufacturers like Defendants to maintain and submit
- 23 information as required by FDA regulation, 21 U.S.C. § 360i, including submitting Adverse Reaction
- 24 Reports, 21 C.F.R. § 803.50, and establishing internal procedures for reviewing complaints and event
- 25 reports, 21 C.F.R. § 820.198(a).
- 26 89. Defendants have a continuing duty to monitor their product post-approval and to discover and
- 27 report to the FDA any complaints about product performance and any health consequences of which
- 28 they are aware that may be attributable to the product. Defendants also have a continuing duty to

provide ongoing warnings and instructions regarding safety hazards associated with the Essure[®] device.

- 90. The Defendants breached their duty in that they failed to warn Plaintiff and her physician by failing to communicate to the FDA via federally mandated Adverse Event Reports prior to the time of Plaintiff's implant, including failure to communicate adverse events similar to the injuries suffered by the Plaintiff. The FDA publishes the adverse events and MDRs in a public, searchable database called MAUDE and updates the report monthly with "all reports received prior to the update." The general public, including physicians and patients, may use the MAUDE database to obtain safety data on medical devices. See http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfMAUDE/search.cfm. Had Defendants complied with the federal requirements and timely and adequately reported the adverse events as required by federal law, additional information would have been available to Plaintiff and/or Plaintiff's physician regarding the dangers of Essure® that were known or knowable to Defendants at the time of distribution.
- 91. Defendants also had a parallel duty under California law to exercise reasonable care in warning the public, including Plaintiff and/or Plaintiff's physicians, about the dangers of Essure[®] that were known or knowable to Defendants at the time of distribution.
- 92. Defendants' failure to adequately and timely report adverse events is a violation of the federal requirements and state law.
- 93. Specifically, Defendants breached these duties and violated federal law by, *inter alia*:
 - a. receiving and failing to properly report 16,047 complaints about Essure[®] to the FDA;
 - b. receiving information and complaints about Essure®, including complaints relating to the Essure® devices migrating outside the fallopian tube and causing perforations, and failing to report this information to the FDA or the public;
- 94. Had Defendants properly and timely reported the adverse events to the FDA as required under federal law, it would have effectively warned physicians, including Plaintiff's physician, of those adverse events both directly and through discussion of those events that would have followed in the literature and at meetings. It would also have provided more complete information to the public-at large through the FDA's MAUDE database.
- 95. If Plaintiff had been aware of these adverse events, she would not have agreed to the Essure®

implant and, upon information and belief, her physician would not have recommended the implant for 2 her.

1

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

As a proximate and legal result of Defendants' failure to comply with its CPMA and federal 96. regulations and requirements, Defendants breached their duty of care to Plaintiff under California law and caused Plaintiff past and future suffering, including severe physical injuries, severe emotional distress, mental anguish, economic losses and other damages for which she is entitled to compensatory and other damages in an amount to be proved at trial.

97. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

THIRD CAUSE OF ACTION

NEGLIGENCE IN MANUFACTURING

- 98. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:
- 99. Defendants have a duty to manufacture the Essure® devices consistent with specifications, CPMA, and/or conditions of approval. Specifically, under the FDCA, the Defendants are required to:
 - establish and maintain procedures for validating the device design, including testing of production units under actual or simulated use conditions, creation of a risk plan, and conducting risk analyses; 21 CFR § 820.30 et seq.;
 - document all Corrective Action and Preventative Actions taken by the Manufacturer to address non-conformance and other internal quality control issues; 21 CFR § 820.100 et seq.
 - c. establish Quality Management System (QMS) procedures to assess potential causes of non-conforming products and other quality problems; 21 CFR 820.70 et seq.; 21 CFR § 820.90 et seq.
- 100. Defendants also had a parallel duty under California law to exercise reasonable care in manufacturing their Essure® devices to comply with the federal requirements, including the CPMA, it Supplements, the device specifications and applicable federal regulations.
- 101. Defendants breached this duty by failing to comply with the federal requirements and by manufacturing actual Essure® devices that differ from the specifications set forth in the CPMA, its

- Supplements, the Conditions of Approval and/or other federal regulations.
- 2 | 102. As a proximate and legal result of Defendants' failure to manufacture the Essure® devices
- 3 consistent with federal requirements, Plaintiff has suffered and will continue to suffer severe physical
- 4 | injuries, severe emotional distress, mental anguish, economic losses and other damages for which she is
- 5 | entitled to compensatory and other damages in an amount to be proved at trial.
 - 103. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

FOURTH CAUSE OF ACTION

NEGLIGENCE / NEGLIGENCE PER SE

- 104. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if
- 10 | fully set forth here and further alleges as follows:

1

6

7

8

9

- 11 || 105. Defendants were and are under a continuing duty to comply with the federal requirements,
- 12 | including the CPMA, its Supplements, the Conditions of Approval, and with the Federal Food, Drug,
- 13 | and Cosmetic Act in the manufacture, development, design, marketing, labeling, distributing, and sale of
- 14 || Essure® and its implementing. See Essure® CPMA; 21 U.S.C. ch. 9 § 301 et seq.
- 15 | 106. Plaintiff alleges the federal regulations and requirements define the standard of care, and thus,
- 16 Defendants duties are contained in, but not limited to, the following: 21 CFR 803.10; 21 CFR 803.50; 21
- 17 | CFR 803.52; 21 CFR 803.53; 21 CFR 803.56; 21, CFR 806; 21 CFR 814.1; 21 CFR 814.3; 21 CFR
- 18 | 814.9; 21 CFR 814.20; 21 CFR 814.37; 21 CFR 814.39; 21 CFR 814.80; 21 CFR 814.82; 21 CFR
- 19 | 814.84; 21 CFR 820.5; 21 CFR 820.20; 21 CFR 820.22; 21 CFR 820.25; 21 CFR 820.70.
- 20 | 107. Plaintiff is within the class of persons the statutes and regulations protect and Plaintiff's injuries
- 21 || are the type of harm these statutes and regulations are to prevent.
- 22 | 108. The conditions for CPMA for the Essure® devices incorporate these statutes and regulations.
- 23 | Failure to comply with the conditions of approval invalidates the approval order. See 21 CFR 814.82(c).
- 24 | Defendants failed to comply with the conditions of the CMPA and Federal Regulations.
 - 109. Specifically, Defendants violated federal law and/or were cited by the FDA for, inter alia:
 - a. failing to report and actively concealing 8 perforations which occurred as a result of
- 27 || Essure[®];

25

26

28

b. failing to establish Quality Control Procedures to assess potential causes of non-

- d. failing to exercise reasonable care in its inspecting and testing of the product; and
- failing to exercise reasonable care in its manufacturing and quality control processes. e.
- 112. Defendants failed to exercise ordinary care in the manufacture, sale, testing, quality assurance, quality control, and/or distribution of Essure®.
- 9 Despite the fact that Defendants knew or should have known that Essure® caused unreasonable, 113. dangerous side effects, Defendants continued to market Essure® to consumers, including Plaintiff and 10 her healthcare providers. 11
- 12 114. Defendants knew or should have known that consumers such as Plaintiff would foreseeably 13 suffer injury as a result of Defendants' failure to exercise ordinary care as described above.
- Had Defendants exercised ordinary care, and complied with the then existing standards of care, 14 115. 15 Plaintiff would not have been injured.
 - 116. As a proximate and legal result of Defendants' failure to exercise reasonable care and the resulting defective condition of Essure®, Plaintiff suffered and will continue to suffer severe physical injuries, severe emotional distress, mental anguish, economic losses and other damages for which she is entitled to compensatory and other damages in an amount to be proved at trial.
- 20 WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

23

24

25

26

27

28

16

17

18

19

6

7

8

22 FIFTH CAUSE OF ACTION

NEGLIGENT MISREPRESENTATION

- Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if 118. fully set forth here and further alleges as follows:
- Defendants owed a duty in all of its several undertakings, including the communication of 119. information concerning Essure®, and to exercise reasonable care to ensure that it did not, in those undertakings, create unreasonable risks of personal injury to others.

120. Defendants, in the course of its business profession, knowingly and negligently disseminated information to physicians concerning the properties and effects of Essure[®], with the intent and expectation that physicians would rely on that information in their decisions in recommending and prescribing the Essure[®] device for their patients.

1

2

3

4

17

18

- 5 | 121. When Defendants disseminated information to physicians and/or patients concerning the properties and effects of Essure[®], they knew or should have known that physicians and/or patients would reasonably rely on that information in their decisions concerning the use of Essure[®].
- 8 | 122. Defendants disseminated false information, as described above, to physicians and the medical community and to their patients with knowledge that the information was false or in conscious its truth or falsity.
- 11 | 123. Defendants made misrepresentations which are specifically outlined in Paragraphs 52-56.
- 12 | 124. Defendants made these misrepresentations and concealed adverse information at a time when
 13 | Defendants knew, or should have known, that Essure® had defects, dangers, and characteristics that were
 14 | other than what Defendants had represented to consumers and the health care industry generally.
- 15 | 125. Defendants had no reasonable grounds for believing these representations were true when they were made; in fact, Defendants knew the representations to be false.
 - 126. Defendants disseminated the false information, as referenced above, to physicians, the medical community, and the public with the intention to deceive physicians and their patients and to induce the physicians to prescribe Essure[®].
- 20 | 127. Defendants failed to exercise reasonable care to ensure that the information disseminated to physicians concerning the properties and effects of Essure® was accurate and not misleading.
- 22 | 128. Defendants expected or should have expected that patients implanted with Essure® in reliance on 23 | false information would be placed in unnecessary, avoidable, and unreasonable danger due to 24 | unwarranted exposure to the device.
- 25 | 129. Plaintiff and/or Plaintiff's physicians did in fact reasonably rely on Defendants' negligent 26 | misrepresentations, as Defendants intended. Specifically, Plaintiff would have never had the Essure[®] 27 | implanted had she been aware that there were 8 perforations of human cavities, that there had been 28 | 16,047 complaints regarding Essure[®], or the falsity of the representations specifically delineated in the

preceding paragraphs.

- 130. As a proximate and foreseeable result of the foregoing misrepresentations by Defendants, Plaintiff suffered and will continue to suffer severe physical injuries, severe emotional distress, mental anguish, economic losses and other damages for which she is entitled to compensatory and other
- damages in an amount to be proved at trial.
- 131. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

SIXTH CAUSE OF ACTION

FRAUDULENT CONCEALMENT

- 132. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:
- 133. At all times mentioned in this Complaint, Defendants had the duty and obligation to disclose to Plaintiff and/or her healthcare providers, the true facts concerning Essure[®].
- 134. Defendants concealed material facts concerning Essure® from Plaintiff and/or her physicians and healthcare providers, including the following:
 - a. Defendants received and fraudulently concealed 16,047 complaints regarding Essure® where pain was experienced by consumers. The FDA's Establishment Inspection Report on June 26, 2013 states: "the inspection found that the firm was not reporting as MDRs complaints in which their product migrated from the fallopian tube into the peritoneal cavity, the firm did not consider these complaints in their risk analysis for the design of their product, and the firm failed to document CAPA activities."
 - b. Defendants fraudulently concealed 8 perforations which were caused by Essure[®] and which Defendants failed to disclose to Plaintiff, Plaintiff's healthcare providers, and the FDA. The FDA memorialized this concealment in its Investigative Report and Form 483 dated January 25, 2011, stating: "the firm had not properly evaluated eight complaints of peritoneal perforation for reporting to the FDA as an adverse event. Also, the firm's risk analysis did not include an evaluation of the risk associated with perforation of the peritoneal cavity."
 - c. On January 6, 2011, the FDA issued a violation to Defendants for not submitting timely

11 | 12 |

16 || 17 ||

21 | 22 |

24 | 25 |

MDR reports when it received information that reasonably suggested that Essure[®] "may have caused or contributed to a death or serious injury if the malfunction were to recur." This information included incidents regarding perforation of bowels, Essure[®] coils breaking into pieces, and Essure[®] coils migrating out of fallopian tubes. Defendants had notice of 168 perforations but only disclosed 22 to the FDA.

- d. On January 6, 2011, the FDA cited Defendants for failing to document Corrective and Preventive Action Activities. Specifically, the FDA found that there were failures in Defendants' Design. In addition, Defendants' CAPA did not mention the non-conformity of materials used in Essure® or certain detachment failures, despite Defendants' engineers' knowledge of same.
- 135. Defendants made affirmative representations to Plaintiff and/or her physicians before Essure[®] was implanted in Plaintiff that Essure[®] was safe and effective while concealing the material facts set forth herein with the intent or purpose that Plaintiff, her physicians, and the healthcare industry would rely on them, leading to the use of Essure[®] by Plaintiff.
- 136. Defendants intentionally, willfully, and maliciously concealed or suppressed the facts set forth above from Plaintiff and her physicians, with the intent to defraud as alleged herein.
- 137. Neither Plaintiff nor her healthcare providers were aware of the concealed facts set forth herein. Had they been aware of those facts, they would not have used Essure[®], and Plaintiff would not have been injured as a result.
- 138. Plaintiff and her physicians justifiably relied on and/or were induced by Defendants' misrepresentations and/or concealment. Specifically, Plaintiff would never have had the Essure[®] implanted had she been aware that there were 8 perforations of human cavities or that there had been 16,047 complaints regarding Essure[®].
- 139. Plaintiff, her physicians, and the healthcare industry, justifiably relied on Defendants' misrepresentations that Essure[®] was safe and effective as it is reasonable that Plaintiff, her physicians, and the healthcare industry would rely on the statements of Defendants regarding whether Essure[®] was safe because as the manufacturer, Defendants were held to the level of knowledge of an expert in the field.

- 140. Defendants had a post-sale duty to warn Plaintiff, her physicians, and the general public about the potential risks and complications associated with Essure[®] in a timely manner.
- 3 | 141. As a proximate result of the concealment or suppression of the facts set forth above, Plaintiff and
- 4 | her healthcare providers reasonably relied on Defendants' deception and, Plaintiff was implanted with
- 5 | Essure® and subsequently sustained injuries and damages as described here. Defendants' concealment
- 6 was a substantial contributing factor in causing Plaintiff's injuries.
- 7 | 142. As a result of the foregoing fraudulent and deceitful conduct by Defendants, Plaintiff seeks
- 8 | punitive damages according to proof.
- 9 | 143. As a result of the foregoing fraudulent and deceitful conduct by Defendants, Plaintiff suffered
- 10 | and will continue to suffer severe physical injuries, severe emotional distress, mental anguish, economic
- 11 | losses and other damages for which she is entitled to compensatory and other damages in an amount to
- 12 || be proved at trial.

2

13 | 144. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

SEVENTH CAUSE OF ACTION

FRAUDULENT/INTENTIONAL DECEIT

- 16 | 145. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:
- 18 | 146. California Civil Code section 1709 provides that one who willfully deceives another with intent
- 19 | to induce him to alter his position to his injury or risk, is liable for any damages which he thereby
- 20 || suffers.

14

- 21 | 147. California Civil Code section 1710 provides, in part, that a deceit, within the meaning of section
- 22 | 1709, is the suggestion, as a fact, of that which is not true, by one who does not believe it to be true; the
- 23 | assertion, as a fact, of that which is not true, by one who has no reasonable ground for believing it to be
- 24 | true; or the suppression of fact, by one who is bound to disclose it, or who gives information of other
- 25 | facts which are likely to mislead for want of communication of that fact.
- 26 | 148. The Defendants willfully deceived the Plaintiff and her healthcare providers, the medical
- 27 || community, and the public in general, by suggesting untrue facts about their product that they knew to
- 28 | be false or had no reasonable ground for believing to be true, and by concealing material information

- concerning Essure[®], which the Defendants had a duty to disclose.
- 2 | 149. At the time Essure® was manufactured, distributed, and sold to Plaintiff, the Defendants were in
- 3 | a unique position of knowledge concerning the safety and effectiveness of Essure[®], and thereby held a
- 4 position of superiority over Plaintiff and her physicians.
- 5 | 150. Through their unique knowledge and expertise regarding the defective nature of Essure[®], and
- 6 | through their marketing statements to physicians and patients in advertisements, promotional materials,
- 7 | labels and other communications as herein alleged, Defendants professed to physicians that they were in
- 8 possession of facts demonstrating that Essure® was safe and effective for its intended use and was not
- 9 defective, when in fact Defendants concealed material information that they had a duty to disclose to
- 10 ensure such physicians were not misled.
- 11 | 151. Defendants intentionally and/or recklessly made false representations to Plaintiff and/or her
- 12 | physicians. Defendants made such representations to intentionally defraud Plaintiff and her physicians to
- 13 || induce the purchase of Essure[®].
- 14 | 152. Plaintiff and/or her healthcare providers reasonably relied on these false and misleading
- 15 | representations. Specifically, Plaintiff would have never had Essure[®] implanted had she been aware that
- 16 there were 8 perforations of human cavities, that there had been 16,047 complaints regarding Essure[®], or
- 17 | the falsity of the representations specifically delineated in Paragraphs 52-56.
- 18 | 153. Defendants took unconscionable advantage of their dominant position of knowledge with regard
- 19 || to Essure[®].

- 20 | 154. Defendants intentionally concealed and suppressed the true facts concerning Essure[®] with the
- 21 || intent to defraud Plaintiff, her physician, the medical, scientific and healthcare community, and the
- 22 | general public, and to induce Plaintiff and/or her physician to use Essure[®]. Plaintiff would not have used
- 23 || Essure® if she had known the true facts concerning the dangers of the product.
- 24 | 155. As a result of the foregoing fraudulent and deceitful conduct by Defendants, Plaintiff has
- 25 || suffered and continues to suffer severe physical injuries, severe emotional distress, mental anguish,
- 26 || economic losses and other damages for which she is entitled to compensatory and other damages in an
- 27 | amount to be proved at trial.
 - 156. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

2

3

4

- 5
- 6

advertising."

- 7
- 8
- 9
- 10
- 11
- 12
- 13
- 14
- 15
- 16
- 17
- 18
- 19
- 20
- 21
- 22
- 23
- 24
- 25
- 26
- 27
- 28

EIGHTH CAUSE OF ACTION

VIOLATIONS OF CALIFORNIA BUSINESS & PROFESSIONS CODE §17200, ET SEQ.

- 170. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:
- California Business & Professions Code § 17200 provides that unfair competition shall mean and include "all unlawful, unfair or fraudulent business practices and unfair, deceptive, untrue or misleading
- 172. Defendants have a statutory duty to refrain from unfair or deceptive acts or practices in the design, development, manufacture, promotion and sale of their products.
- 173. The acts and practices described above were and are likely to mislead the general public and therefore constitute unfair business practices within the meaning of California Business & Professions Code § 17200. The acts of untrue and misleading advertising set forth in preceding paragraphs are incorporated by reference and are, by definition, violations of California Business & Professions Code § 17200. This conduct is set forth fully herein, and includes, but is not limited to:
 - representing that Essure® was safe, fit, and effective for human use, knowing that said a. representations were false, and concealing that Essure® products had a serious propensity to cause injuries to users;
 - b. engaging in advertising programs designed to create the image, impression and belief by consumers and physicians that Essure® was safer than other forms of permanent contraception, even though Defendants knew this to be false, and even though Defendants had no reasonable grounds to believe them to be true;
 - purposely downplaying and understating the health hazards and risks associated with c. Essure®;
 - d. issuing promotional literature and commercials deceiving potential users of Essure® by relaying positive information, while downplaying the known adverse and serious health effects and concealing material relevant information regarding the safety and efficacy of Essure®;
 - failing to provide prescribing physicians with appropriate information to protect patients, e.

- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10
- 11
- 12
- 13
- 14
- 15
- 16
- 17
- 19
- 20
- 21
- 22 23
- 24
- 25 26
- 27
- 28

- 174. These practices constitute unlawful, unfair and fraudulent business acts or practices, within the meaning of California Business & Professions Code § 17200.
 - 175. Had Defendants not engaged in the deceptive conduct described herein, Plaintiff would not have purchased and/or paid for Essure[®] and would not have incurred related medical costs and injury.

 - 176. Defendants engaged in wrongful conduct, while at the same time obtaining under false pretenses, substantial sums of money from Plaintiff for the defective Essure® that would not have been paid had
 - Defendants not engaged in unfair and deceptive conduct.
 - Plaintiff was injured by the cumulative and indivisible nature of Defendants' conduct. The cumulative effect of Defendants' conduct directed at patients, physicians and consumers was to create

 - demand for and sell Essure[®]. Each aspect of Defendants' conduct combined to artificially create sales

 - of Essure®.
 - 178. Plaintiff and the medical community relied upon Defendants' misrepresentations and omissions in deciding whether to use Essure®.
- 179. The unlawful, unfair and fraudulent business practices of Defendants described above present a
- 18 continuing threat to members of the public in that Defendants continue to engage in the conduct described herein.
 - As a result of their conduct described above, Defendants have been and will be unjustly enriched. Specifically, Defendants have been unjustly enriched by receipt of hundreds of millions of
 - dollars from the sale and prescription of Defendants' Essure® products in California, sold in large part as
 - a result of the acts and omissions described here. 181.
 - Defendants are liable to Plaintiff for all general, special and injunctive relief to which Plaintiff is entitled by law. Under statutes enacted in California to protect consumers against unfair, deceptive,
 - fraudulent and unconscionable trade and business practices and false advertising, Plaintiff is a consumer
 - who purchased Essure[®] pursuant to a consumer transaction for personal use and is, therefore, subject to
 - protection under such legislation.

including Plaintiff, by failing to disclose complaints regarding Essure[®], failing to conduct

proper pharmacovigilance, signal detection and follow up, and failing to disclose safety

issues and safe prescribing practices for Essure[®] to physicians and healthcare providers.

- 1 | 182. Under statutes enacted in California to protect consumers against unfair, deceptive, fraudulent 2 | and unconscionable trade and business practices and false advertising, Defendants are the supplier, 3 | manufacturer, advertiser, and sellers, who are subject to liability under such legislation for unfair,
- 4 deceptive, fraudulent, and unconscionable consumer sales practices.
- 5 | 183. Defendants violated the statutes enacted in California to protect consumers against unfair, 6 | deceptive, fraudulent and unconscionable trade and business practices and false advertising, by
- 7 knowingly and falsely representing that Essure® was fit to be used for the purpose for which it was
- 8 intended, when in fact Essure® was defective and dangerous as described above. These representations
- 9 | were made to Plaintiff, her physician and the medical community at large.
- 10 | 184. The actions and omissions of Defendants alleged herein are uncured or incurable deceptive acts under the statutes enacted in California to protect consumers against unfair, deceptive, fraudulent and
- 12 unconscionable trade and business practices and false advertising.

22

- 13 | 185. Defendants had actual knowledge of the defective and dangerous condition of Essure[®], and 14 | failed to take any action to cure such defective and dangerous conditions.
- 15 | 186. As a direct and proximate result of Defendant's violations of Business and Professions Code §
- 16 | 17200, Plaintiff has sustained economic losses and other damages and is entitled to statutory,
- 17 || compensatory, injunctive and declaratory relief in an amount to be proven at trial.
- 18 | 187. Plaintiff, pursuant to California Business & Professions Code § 17203, seeks an order of this
 19 | Court compelling Defendants to provide restitution and cease unfair business practices in the future.
- 20 | 188. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

NINTH CAUSE OF ACTION

VIOLATIONS OF BUSINESS & PROFESSIONS CODE 17500, ET SEQ.

- 23 | 189. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:
- 25 | 190. Plaintiff brings this cause of action pursuant to California Business & Professions Code § 17500.
- 26 | 191. California Business & Professions Code § 17500 provides that it is unlawful for any person,
- 27 | firm, corporation or association to dispose of property or perform services, or to induce the public to
- 28 enter into any obligation relating thereto, through the use of untrue or misleading statements.

24 ||

27 || 1

- 192. At all times herein alleged Defendants have committed acts of disseminating untrue and misleading statements as defined by California Business & Professions Code § 17500 by engaging in the following acts and practices with intent to induce members of the public to purchase and use Defendants' Essure® product:
 - a. representing that Essure[®] was safe, fit, and effective for human use, knowing that said representations were false, and concealing that Essure[®] products had a serious propensity to cause injuries to users;
 - b. engaging in advertising programs designed to create the image, impression and belief by consumers and physicians that Essure[®] was safer than other forms of permanent contraception, even though Defendants knew this to be false, and even though Defendants had no reasonable grounds to believe them to be true;
 - c. purposely downplaying and understating the health hazards and risks associated with Essure[®].
 - d. issuing promotional literature and commercials deceiving potential users of Essure® by relaying positive information, while downplaying the known adverse and serious health effects and concealing material relevant information regarding the safety and efficacy of Essure®; and/or
 - e. failing to provide physicians with appropriate information to protect patients, including Plaintiff, by failing to disclose complaints regarding Essure[®], failing to conduct proper pharmacovigilance, signal detection and follow up, and failing to disclose safety issues and safe prescribing practices for Essure[®] to physicians and healthcare providers.
- 193. The foregoing practices constitute false and misleading advertising within the meaning of California Business & Professions Code § 17500.
- 194. The acts of untrue and misleading statements by Defendants described here present a continuing threat to members of the public in that the acts alleged herein are continuous and ongoing, and the public will continue to suffer the harm alleged herein.
- 195. As a result of their conduct described above, Defendants have been and will be unjustly enriched. Specifically, Defendants have been unjustly enriched by receipt of hundreds of millions of

- dollars from the sale and prescription of Essure® in California, sold in large part as a result of the acts and omissions described here.
- 3 | 196. Pursuant to California Business & Professions Code § 17535, Plaintiff seeks an order of this
- 4 | court compelling the Defendants to provide restitution and injunctive relief calling for Defendants to
- 5 | cease unfair business practices in the future.
- 6 | 197. Plaintiff seeks restitution of the monies collected by Defendants and other injunctive relief to 7 | cease such false and misleading advertising in the future.
- 8 | 198. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

TENTH CAUSE OF ACTION

VIOLATIONS OF CAL. CIVIL CODE §1750

- 199. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if
- 12 | fully set forth here and further alleges as follows:
- 13 | 200. Plaintiff is informed and believes and thereon allege that Defendants, by the acts and misconduct
- 14 | alleged herein, violated the Consumers Legal Remedies Act, California Civil Code §§ 1750 et. seq.
- 15 ("CLRA").

9

10

- 16 | 201. Plaintiff hereby seeks injunctive relief as appropriate against Defendants for their violations of
- 17 | California Civil Code §§ 1750 et. seq. The CLRA applies to Defendants' actions and conduct described
- 18 herein because it extends to transactions which are intended to result, or which have resulted, in the sale
- 19 of goods to consumers.
- 20 | 202. Plaintiff is a "consumer" within the meaning of California Civil Code § 1761(d).
- 21 | 203. Defendants have violated, and continue to violate, the CLRA in representing that goods have
- 22 | characteristics and benefits which they do not have, in violation of California Civil Code § 1770(a)(5).
- 23 | 204. Defendants have committed acts of disseminating untrue and misleading statements as defined
- 24 | by California Civil Code § 1770, by engaging in the following acts and practices with intent to induce
- 25 members of the public to purchase and use Essure[®]:
- a. representing that Essure® was safe, fit, and effective for human use, knowing that said
- 27 representations were false, and concealing that Essure® products had a serious propensity
- 28 to cause injuries to users;

- b. engaging in advertising programs designed to create the image, impression and belief by consumers and physicians that Essure[®] was safer than other forms of permanent contraception, even though Defendants knew this to be false, and even though Defendants had no reasonable grounds to believe them to be true;
- c. purposely downplaying and understating the health hazards and risks associated with Essure®;
- d. issuing promotional literature and commercials deceiving potential users of Essure® by relaying positive information, while downplaying the known adverse and serious health effects and concealing material relevant information regarding the safety and efficacy of Essure®; and/or
- e. failing to provide prescribing physicians with appropriate information to protect patients, including Plaintiff, by failing to disclose complaints regarding Essure[®], failing to conduct proper pharmacovigilance, signal detection and follow up, and failing to disclose safety issues and safe prescribing practices for Essure[®] to physicians and healthcare providers.
- 205. The foregoing practices constitute false and misleading advertising and representations within the meaning of California Civil Code § 1770. Defendants' untrue and misleading statements described here present a continuing threat to members of the public and individual consumers in that the acts are continuous and ongoing, and the public and individual consumers will continue to suffer harm as alleged herein. Unless Defendants are enjoined from continuing to engage in these violations of the CLRA, Plaintiff will continue to be harmed by the wrongful actions and conduct of Defendants. Pursuant to California Civil Code § 1780, Plaintiff seeks an order of this court for injunctive relief calling for Defendants, and each of them, to cease such deceptive business practices in the future.

206. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

REQUEST FOR PUNITIVE DAMAGES

- 207. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set forth herein and further alleges as follows:
- 208. At all times relevant herein, Defendants:

- 2 3
- 4
- 5
- 6 7
- 8
- 9
- 10
- 11
- 12
- 13
- 14
- 15

- 16
- 17
- 18
- 19
- 20
- 21 22
- 23
- 24
- 25 26
- 27
- 28

- knew or should have known that Essure® was dangerous and ineffective; a.
- concealed the dangers and health risks from Plaintiff, physicians, other medical b. providers, the FDA, and the public at large;
- attempted to misrepresent and did knowingly make misrepresentations to Plaintiff, her c. physicians, hospitals, and other medical providers, and the public in general as previously stated herein as to the safety and efficacy of Essure®; and
- with full knowledge of the health risks associated with Essure[®] and without adequate d. warnings of the same, manufactured, designed, formulated, tested, packaged, labeled, produced, created, made, constructed, assembled, marketed, advertised, distributed and sold Essure® for use.
- 209. Defendants, by and through its officers, directors, managing agents, authorized sales representatives, employees and/or other agents who engaged in malicious, fraudulent and oppressive conduct towards Plaintiff and the public, acted with willful, wanton, conscious, and/or reckless disregard for the safety of Plaintiff and the general public.
- 210. Defendants' misrepresentations included knowingly withholding material information from the medical community and the public, including Plaintiff, concerning the safety of Essure®. Defendants' conduct was willful, wanton, and undertaken with a disregard for Plaintiff's rights.
- Notwithstanding the foregoing, Defendants continued to market Essure® to consumers, including Plaintiff herein, without disclosing the risks.
- Defendants knew of Essure®'s lack of warnings, but intentionally concealed and/or recklessly failed to disclose that risk and continued to market, distribute, and sell Essure® without said warnings so as to maximize sales and profits at the expense of the health and safety of the public, including Plaintiff herein, in conscious and/or negligent disregard of the foreseeable harm caused by Essure[®].
- 213. Defendants' intentional and/or reckless failure to disclose information deprived Plaintiff of necessary information to enable her to weigh the true risks of using Essure® against its benefits.
- As a direct and proximate result of one or more of these wrongful acts or omissions of 214. Defendants, Plaintiff suffered profound injuries that required medical treatment and incurred medical and hospital expenses, for which Plaintiff has become liable.

6 7

5

8

9

10 11

12

13 14

15

16

17

18

19 20

21

22 23

24 25

26

27

28

215. Defendants are liable jointly and/or severally for all general, special and compensatory damages

and equitable relief to which Plaintiff is entitled by law. Plaintiff seeks actual and punitive damages

from Defendants and alleges that the conduct of Defendants was committed with knowing, conscious,

careless, reckless, willful, wanton, deliberate, and grossly negligent disregard for the rights and safety of

consumers, including Plaintiff, thereby entitling Plaintiff to punitive damages in an amount appropriate

to punish Defendants and deter them from similar conduct in the future.

216. WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory and punitive damages, together with interest, costs of suit, attorney's fees, and all such other relief as the

Court deems appropriate pursuant to common law and statutory law.

RELIEF REQUESTED

WHEREFORE Plaintiff prays for judgment against Defendants and, as appropriate to each cause of action alleged and as appropriate to the standing of Plaintiff, as follows:

- 1. Economic and non-economic damages in an amount as provided by law and to be supported by evidence at trial;
- 2. For compensatory damages according to proof;
- For declaratory judgment that Defendants are liable to Plaintiff for all evaluative, monitoring, 3. diagnostic, preventative, and corrective medical, surgical, and incidental expenses, costs, and losses
- caused by Defendants' wrongdoing;
- 4. For disgorgement of profits;
- 5. For an award of attorneys' fees and costs;
- 6. For prejudgment interest and the costs of suit;
- 7. Punitive or exemplary damages according to proof;
- 8. Injunctive relief; and
- 9. For such other and further relief as this Court may deem just and proper.

DEMAND FOR JURY TRIAL

Plaintiff hereby demands a trial by jury as to all claims in this action.

Dated: January 12, 2016

MOTLEY RICE LLC
Carmen C. Scott, Esq.
Breanne V. Cope, Esq. [#260217]
Hayleigh T. Stewart Santra
28 Bridgeside Blvd.
Mt. Pleasant, SC 29464
Telephone: (843) 216-9000
Facsimile: (843) 216-9450
cscott@motleyrice.com
bcope@motleyrice.com
hstewart@motleyrice.com

Fidelma L. Fitzpatrick, Esq. 321 S. Main Street Providence, RI 02903 Telephone: (401) 457-7700 Fax: (401) 457-7708 ffitzpatrick@motleyrice.com

Erin Copeland, Esq.
Fibich, Leebron, Copeland, Briggs & Josephson
1150 Bissonnet Street
Houston, TX 77005
Telephone: (713) 751-0025
ecopeland@fhl-law.com