

MOTLEY RICE LLC  
Fidelma L. Fitzpatrick, Esq.  
321 S. Main Street, Suite 200  
Providence, RI 02903  
Telephone: (401) 457-7700  
Fax: (401) 457-7708  
ffitzpatrick@motleyrice.com

ENDORSED  
FILED  
2016 JAN 12 P 3:23  
David H. Yamazaki, Clerk of the Superior Court  
County of Santa Clara, California  
By: \_\_\_\_\_  
Deputy Clerk

Carmen C. Scott, Esq.  
Breanne V. Cope, Esq. [#260217]  
Hayleigh T. Stewart Santra  
28 Bridgeside Blvd.  
Mt. Pleasant, SC 29464  
Telephone: (843) 216-9000  
Facsimile: (843) 216-9450  
cscott@motleyrice.com  
bcope@motleyrice.com  
hstewart@motleyrice.com

Attorneys for PLAINTIFF DEANNA ALONZO

IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SANTA CLARA- UNLIMITED JURISDICTION

Deanna Alonzo, an individual,  
  
Plaintiff,  
  
vs.

BAYER, CORP., an Indiana corporation;  
BAYER HEALTHCARE LLC, a Delaware  
corporation; BAYER ESSURE®, INC., (F/K/A  
CONCEPTUS, INC.) a Delaware corporation;  
BAYER HEALTHCARE  
PHARMACEUTICALS, INC., a Delaware  
corporation; BAYER A.G., a German  
corporation; and DOES 1-10, inclusive  
Defendants and DOES 1-100, inclusive,  
  
Defendants.

) **CASE NO. 16C V290019**  
)  
) **COMPLAINT FOR DAMAGES**  
) **AND DEMAND FOR JURY**  
) **TRIAL**  
)  
) (1) Negligent Failure to Warn  
) (2) Negligence in Manufacturing  
) (3) Negligence / Negligence Per Se  
) (4) Strict Products Liability – Failure  
) to Warn and Manufacturing  
) Defect  
) (5) Negligent Misrepresentation  
) (6) Fraudulent Concealment  
) (7) Fraudulent/Intentional Deceit  
) (8) Violations of Business &  
) Professions Code §17200, Et Seq.  
) (9) Violations of Business &  
) Professions Code §17500, Et Seq.  
) (10) Violations of Cal. Civil Code  
§1750

COMES NOW Plaintiff Deanna Alonzo, and files this Complaint seeking judgment against  
Defendants BAYER, CORP.; BAYER HEALTHCARE LLC; BAYER ESSURE®, INC. (F/K/A

1 CONCEPTUS, INC.); BAYER HEALTHCARE PHARMACEUTICALS, INC; BAYER A.G and  
2 DOES 1 through 10 inclusive, (hereinafter collectively referred to as “Defendants” or “Bayer”) for  
3 personal injuries suffered as a result of Plaintiff Deanna Alonzo (hereinafter “Plaintiff”) being  
4 prescribed and implanted with the defective and unreasonably dangerous product Essure®. At all times  
5 relevant hereto, Essure® was manufactured, designed, formulated, tested, packaged, labeled, produced,  
6 created, made, constructed, assembled, marketed, advertised, promoted, distributed, and sold by  
7 Defendants or by Conceptus, Inc. which merged with Bayer on or about April 28, 2013.

8 **I. PARTIES, JURISDICTION AND VENUE**

9 1. The Court has personal jurisdiction over the Defendants because Plaintiff and Defendant Bayer  
10 Essure®, Inc. (f/k/a Conceptus, Inc.) are residents of and/or doing business in the State of California and  
11 a substantial part of the events giving rise to Plaintiff’s claims occurred, in part, in California, including  
12 the design, formulation, testing, packaging, labeling, production, creation, construction, assembly,  
13 advertising, clinical testing, marketing, and manufacturing of the Essure® system.

14 2. Venue is proper in this county in accordance with Section 395(a) of the California Code of Civil  
15 Procedure because the Defendants are at home in this county.

16 3. At all times relevant hereto, Plaintiff is and was a citizen and resident of Ventura County,  
17 California.

18 4. Defendant BAYER CORP. is a for-profit corporation incorporated in the state of Indiana and is a  
19 wholly-owned subsidiary of Bayer A.G. Defendant is authorized to and does business throughout the  
20 state of California.

21 5. Defendant BAYER HEALTHCARE LLC is a for-profit corporation incorporated in the state of  
22 Delaware and is a wholly-owned subsidiary of Bayer A.G. Defendant is authorized to and does business  
23 throughout the state of California.

24 6. Defendant BAYER ESSURE® INC. (F/K/A CONCEPTUS, INC.) is a for-profit corporation  
25 incorporated in the state of Delaware, and is a wholly-owned subsidiary of Bayer A.G and/or Bayer  
26 HealthCare LLC. Conceptus, Inc. (“Conceptus”) was founded by Julian Nikolchev, a self-described  
27 “medical technology developer and serial entrepreneur,” in 1992. On or about April 28, 2013,  
28 Conceptus, Inc. entered into an Agreement and Plan of Merger (the “Merger Agreement”) with Bayer

1 HealthCare LLC. On or about June 5, 2013, pursuant to the Merger Agreement, Conceptus, Inc. became  
2 a wholly-owned subsidiary of Bayer HealthCare LLC and/or Bayer A.G., and thereafter renamed “Bayer  
3 Essure® Inc.” For purposes of this Complaint, Conceptus, Inc. and Bayer Essure® Inc. are one and the  
4 same. Bayer Essure® Inc.’s headquarters are located at 331 East Evelyn Avenue, Mountain View,  
5 California 94041. Defendant is authorized to and does business throughout the state of California.

6 7. Defendant BAYER HEALTHCARE PHARMACEUTICALS, INC. is a for-profit corporation  
7 incorporated in the state of Delaware and is a wholly owned subsidiary of Bayer AG. Defendant is  
8 authorized to and does business throughout the state of California.

9 8. Defendant BAYER A.G. is a German for-profit corporation. Defendant is authorized to and does  
10 business throughout the state of California through its wholly owned subsidiaries.

11 9. The true names and capacities of those defendants designated as DOES 1-10, whether individual,  
12 corporate, associate or otherwise, are unknown to Plaintiff at the time of filing this Complaint and  
13 Plaintiff, therefore, sues said defendants by such fictitious names and will ask leave of Court to amend  
14 this Complaint to show their true names or capacities when the same have been ascertained. Plaintiff is  
15 informed and believes, and thereon alleges, that each of the DOE defendants is, in some manner,  
16 responsible for the events and happenings herein set forth and proximately and/or directly caused injury  
17 and damages to Plaintiff as herein alleged.

## 18 **II. DESCRIPTION OF ESSURE®**

19 10. Essure® is a Class III medical device manufactured, designed, formulated, tested, packaged,  
20 labeled, produced, created, made, constructed, assembled, marketed, advertised, promoted, distributed,  
21 and sold by Defendants.

22 11. Essure® was first manufactured, designed, formulated, tested, packaged, labeled, produced,  
23 created, made, constructed, assembled, marketed, advertised, promoted, distributed, and sold by  
24 Conceptus, Inc. and initially developed under the name Selective Tubal Occlusion Procedure or  
25 “S/TOP™” Permanent Contraception device.

26 12. Essure® is a form of permanent female birth control (female sterilization). The device is intended  
27 to cause bilateral occlusion (blockage) of the fallopian tubes by the insertion of micro-inserts into the  
28 fallopian tubes which are supposed to anchor and then elicit tissue growth creating the blockage of the

1 fallopian tubes.

2 13. Essure<sup>®</sup> consists of three components: (1) two micro-inserts; (2) a disposable delivery system;  
3 and (3) a disposable split introducer. All components are intended for single use.

4 14. The micro-inserts are comprised of two metal coils, made of nitinol (nickel and titanium), steel,  
5 and PET fibers, which are placed in a woman's fallopian tubes via Defendants' disposable delivery  
6 system and under hysteroscopic guidance (camera).

7 15. Defendants' disposable delivery system consists of a single handle which contains a delivery  
8 wire, release catheter, and delivery catheter. The micro-inserts are attached to the delivery wire. The  
9 delivery handle controls the device, delivery, and release. Physicians are allowed to visualize this  
10 complicated process through the hysteroscopic equipment provided by Defendants.

11 16. After placement of the coils in the fallopian tubes by Defendants' disposable delivery system, the  
12 micro-inserts expand upon release and anchor into the fallopian tubes. Defendants claim that the coils  
13 allegedly elicit tissue growth, blocking off the fallopian tubes.

14 17. The coils are alleged to remain securely in place in the fallopian tubes for the life of the patient.

15 18. Three months post implant, patients are to receive a "Confirmation Test" to determine that the  
16 coil micro-inserts have created a complete occlusion in each fallopian tube. The Confirmation Test used  
17 is a hysterosalpingogram ("HSG Test").

18 19. Defendants have stated that the HSG is "often painful" and "is also known to be highly  
19 inaccurate, with false-positive results in as many as 40% of HSG-diagnosed cases of proximal tubal  
20 occlusion ("PTO"). Various factors are believed to be responsible for these false indications of tubal  
21 occlusion, including tubal spasm (a natural function of the tubes) and a build-up in the tube of natural  
22 cellular debris and mucous."

23 20. Regardless of the Confirmation Test, Defendants also claim that Essure<sup>®</sup> allows for visual  
24 confirmation of each insert's proper placement during the procedure.

25 21. Essure<sup>®</sup> was designed, manufactured, and marketed to be used by gynecologists throughout the  
26 world, as a "quick and easy" outpatient procedure that did not require general anesthesia and had a quick  
27 recovery time. Defendants claimed that Essure<sup>®</sup> "will allow many tubal therapies for . . . permanent  
28 contraception which are currently performed surgically to be performed transcervically, thereby

1 reducing the cost, trauma and recovery time associated with those therapies.”

2 22. Defendants provided training to physicians on how to use the Essure<sup>®</sup> system and other  
3 hysteroscopic equipment, including Plaintiff’s implanting physician.

4 23. In April 2002, Conceptus submitted its Premarket Approval Application to the United States  
5 Food and Drug Administration (“FDA”) for the Essure<sup>®</sup> device.

6 24. Premarket Approval (“PMA”) is the FDA process of scientific and regulatory review to evaluate  
7 the safety and effectiveness of Class III medical devices. *See* 21 U.S.C. § 515(b); 21 CFR § 814.3(e).  
8 According to the FDA, Class III devices are those that support or sustain human life, are of substantial  
9 importance in preventing impairment of human health, or which present a potential, unreasonable risk of  
10 illness or injury.

11 25. A PMA application must contain certain information which is critical to the FDA’s evaluation of  
12 the safety and efficacy of the medical device at issue. A PMA and/or PMA Supplement application  
13 must provide:

- 14 a. proposed indications for use;
- 15 b. device description including the manufacturing process;
- 16 c. any marketing history;
- 17 d. summary of studies (including non-clinical laboratory studies, clinical investigations  
18 involving human subjects, and conclusions from the study that address benefit and risk  
19 considerations);
- 20 e. methods used in manufacturing the device, including compliance with current good  
21 manufacturing practices; and
- 22 f. information relevant to an evaluation of the safety and effectiveness of the device known  
23 or that should reasonably be known to the manufacturer from any source, including  
24 commercial marketing experience.

25 26. On November 4, 2002, the FDA conditionally approved Conceptus’ Essure<sup>®</sup> PMA application.

26 27. According to the FDA, a Class III device that fails to meet the Conditional Premarket Approval  
27 (“CPMA”) requirements after marketing is considered to be adulterated under § 501(f) of the Federal  
28 Food, Drug and Cosmetic Act (“FDCA”) and cannot continue to be marketed.

1 28. In the CPMA Order issued by the FDA, the FDA expressly stated that “[f]ailure to comply with  
2 the conditions of approval invalidated this approval order.” The following were the conditions of the  
3 CPMA for Essure<sup>®</sup>:

- 4 a. “[e]ffectiveness of Essure<sup>®</sup> is established by annually reporting on the 745 women who  
5 took part in clinical tests.”
- 6 b. “[s]uccessful bilateral placement of Essure<sup>®</sup> is documented for newly trained physicians.”
- 7 c. “[w]ithin 10 days after [Defendant] received knowledge of any adverse reaction to report  
8 the matter to the FDA.”
- 9 d. “[r]eport to the FDA whenever it received information from any source that reasonably  
10 suggested that the device may have caused or contributed to a serious injury,”
- 11 e. warranties and representations concerning the products are truthful, accurate and not  
12 misleading; and
- 13 f. warranties and representations concerning the product are consistent with applicable  
14 Federal and State law.

15 29. In addition to the requirements set forth in the CPMA, Defendants are required to comply with  
16 all FDA requirements for Class III medical devices, including, but not limited to:

- 17 a. report to the FDA information suggesting that one of the Manufacturer’s devices may  
18 have caused or contributed to a death or serious injury, or has malfunctioned and would  
19 be likely to cause death or serious injury if the malfunction were to recur, 21 CFR §§  
20 803.50 et seq.;
- 21 b. monitor the product after pre-market approval and to discover and report to the FDA any  
22 complaints about the product’s performance and any adverse health consequences of  
23 which it became aware and that are or may be attributable to the product, 21 CFR §§ 814  
24 et seq.;
- 25 c. submit a PMA Supplement for any change in Manufacturing Site, 21 CFR §§ 814.39 et  
26 seq.;
- 27 d. establish and maintain quality system requirements to ensure that quality requirements  
28 are met, 21 CFR § 820.20 et seq.;

- e. establish and maintain procedures for validating the device design, including testing of production units under actual or simulated use conditions, creation of a risk plan, and conducting risk analyses, 21 CFR §§ 820.30 et seq.;
- f. document all Corrective Action and Preventative Actions taken by the Manufacturer to address non-conformance and other internal quality control issues, 21 CFR §§ 820.100 et seq.;
- g. establish internal procedures for reviewing complaints and event reports, 21 CFR §§ 820.198, §§ 820.100 et seq. and §§ 820.20 et seq.;
- h. establish Quality Management System (QMS) procedures to assess potential causes of non-conforming products and other quality problems, 21 CFR §§820.70 et seq. and 21 CFR §§ 820.90 et seq.;
- i. report on Post Approval Studies in a timely fashion, 21 CFR §§ 814.80 et seq.; and
- j. advertise product accurately and truthfully, 21 CFR §§ 801 et seq.

30. As presented below, Defendants failed to comply with several of the aforementioned conditions of the CPMA and federal regulations and requirements, thereby invalidating the CPMA under the FDCA.

31. By failing to comply with several CPMA conditions and federal regulations and requirements prior to implant into Plaintiff, Essure<sup>®</sup> was also considered to be an “adulterated” device under § 501(f) of the FDCA and cannot be marketed per the FDA. 21 U.S.C. §§ 351(h); 21 CFR §§ 814.80 et seq. However, Defendants have continued to market the product to the present.

32. In June and July of 2003, the FDA conducted a six day inspection of Conceptus’ San Carlos headquarters.

33. During the six day inspection, the FDA documented two (2) conditions which it found objectionable and/or constituted violations of the FDCA and federal regulations and requirements.

34. The two objectionable conditions were communicated to Conceptus by the FDA via a Form 483 dated July 7, 2003, and included: (1) Conceptus’ failure to analyze all data from quality sources to identify existing and potential causes of nonconforming product and other quality problems related to the Essure<sup>®</sup> device; and (2) Conceptus’ failure to follow procedures to control products that do not

1 conform to specifications.

2 35. These objectionable conditions violated the conditions of the Essure<sup>®</sup> CPMA and federal  
3 regulations and requirements governing the post-marketing conduct of Conceptus, including, but not  
4 limited to, 21 CFR §§ 820.90 et seq.; 21 CFR §§ 814 et seq.; 21 CFR §§ 820.198 et seq.; §§ 820.100 et  
5 seq.; 21 CFR §§ 820.20 et seq.; 21 CFR §§ 820.70 et seq.; 21 CFR §§ 820.184 et seq.; and 21 CFR §§  
6 820.30.

7 36. Subsequent to obtaining its CPMA, Conceptus became aware of potential quality and failure  
8 modes associated with the Essure<sup>®</sup> devices. For example, Conceptus became aware that the following  
9 failures could occur with the device and lead to adverse consequences for the patient:

- 10 a. the stainless steel used in Essure<sup>®</sup> can become un-passivated, which allows it to rust;
- 11 b. the nitinol could have a nickel rich oxide, which the body attacks;
- 12 c. the no lead solder could in fact have trace lead in it;
- 13 d. the Galvanic action between the metals used to manufacture Essure<sup>®</sup>, which causes the  
14 encapsulation of the product within the fallopian tubes, could be a continuous irritant to  
15 some patients;
- 16 e. the nitinol in the device can degrade due to High Nickel Ion release, increasing the  
17 toxicity of the product for patients;
- 18 f. latent manufacturing defects, such as cracks, scratches, and other disruption of the  
19 smooth surface of the metal coil, may exist in the finished product, causing excess nickel  
20 to leach into the surrounding tissues after implantation;
- 21 g. degradation products of the PET used in the implant can be toxic to patients, inciting both  
22 chronic inflammation and possible autoimmune issues;
- 23 h. the mucosal immune response to nickel is different than the immune response in non-  
24 mucosal areas of the body.

25 37. Upon obtaining knowledge of these potential device failure modes, the Defendants were required  
26 under 21 CFR §§820.30 et seq., 21 CFR §§ 820.100 et seq. and the FDA Recognized Consensus  
27 Standard ISO 14971 to use this information to routinely update the risk analyses for the Essure<sup>®</sup> device  
28 and take any and all Corrective Action and Preventative Actions (“CAPA”) necessary to address non-



1 conformance and other internal quality control issues. Furthermore, Defendants were required to  
2 establish Quality Management Systems (“QMS”) procedures to assess potential causes of non-  
3 conforming products and other quality problems with the products, such as latent manufacturing defects.  
4 21 CFR §§ 820.70 et seq.; 21 CFR §§ 820.30 et seq. Defendants failed to comply with these and other  
5 federal regulations and requirements, thereby jeopardizing the health of patients, including Plaintiff.

6 38. In November or December 2005, Conceptus moved its manufacturing facility from San Carlos,  
7 California to Mountain View, California. It did not file a PMA Supplement with the FDA to advise it of  
8 the change in manufacturing site in violation of its post-marketing duties under 21 CFR § 814.39.

9 39. On June 10 and 11, 2008, the California Department of Public Health, Medical Device Safety  
10 Section (“CDPH”), conducted an inspection of Conceptus’ 331 East Evelyn Avenue location in  
11 Mountain View, California.

12 40. During this inspection the CDPH issued a Notice of Violation to Conceptus for: (1) failing to  
13 obtain a valid license to manufacture medical devices after Conceptus moved from its previous location  
14 in 2005; and (2) failing to maintain its procedure for inventory transfer.

15 41. These conditions violated the conditions of the Essure® CPMA and federal regulations and  
16 requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR  
17 §§ 814.39; and 21 CFR §§ 820.70 et seq.

18 42. On or about December 2010, the FDA conducted a fifteen day “For Cause” inspection. The  
19 purpose of the inspection was to investigate a specific problem that had come to FDA’s attention.

20 43. During the fifteen day For Cause Inspection, the FDA noted four conditions which it found  
21 objectionable and/or constituted violations of the FDCA and federal regulations and requirements. The  
22 four objectionable conditions were communicated to Conceptus by the FDA via a Form 483 dated  
23 January 6, 2011, and included:

- 24 a. Conceptus’ failure to submit Medical Device Reporting (“MDR”) determinations to the  
25 FDA within 30 days for reports of a serious injury involving the Essure® device including  
26 two reports of bowel perforation, and one report of pain and the Essure® device breaking  
27 into pieces immediately following implant;
- 28 b. Conceptus’ failure to submit MDR’s to the FDA within 30 days for reports of a serious

1 injury involving the Essure<sup>®</sup> device including five reports of the Essure<sup>®</sup> coils perforating  
2 the fallopian tubes and penetrating the peritoneal cavity;

3 c. Conceptus' failure to include perforation of the Essure<sup>®</sup> micro-coil insert into the  
4 peritoneal cavity in its Design Failure Mode Effects Analysis (DFMEA) for Essure<sup>®</sup>,  
5 despite having documented at least 508 complaints of perforation involving the Essure<sup>®</sup>  
6 device; and

7 d. Conceptus' failure to adequately document in a CAPA an incident involving the  
8 erroneous use of uncertified material by Conceptus' contract manufacturer in a validation  
9 protocol.

10 44. These actions violated the conditions of the Essure<sup>®</sup> CPMA and federal regulations and  
11 requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR  
12 §§ 803.50 et seq; 21 CFR §§ 814 et seq; 21 CFR §§ 820.30 et seq; and 21 CFR §§ 820.198; 21 CFR §§  
13 820.100 et seq; and 21 CFR §§ 820.20.

14 45. In May and June 2013, the FDA conducted another inspection that included an evaluation of  
15 Conceptus'/Bayer's complaint handling and adverse event reporting practices. As part of the inspection  
16 process, part of the FDA's review focused on 16,047 complaints Conceptus received on the Essure<sup>®</sup>  
17 device between January 2011 and the date of the inspection, only 183 of which were reported by  
18 Defendants to the FDA as MDRs.

19 46. These actions violated the conditions of the Essure<sup>®</sup> CPMA and federal regulations and  
20 requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR  
21 §§ 803.50 et seq; and 21 CFR §§ 820.198; 21 CFR §§ 820.100 et seq.; and 21 CFR §§ 820.20 et seq.

22 47. Conceptus also failed to timely submit Post-Approval Studies under the Essure<sup>®</sup> CPMA. The six  
23 month report was due on August 24, 2012 but was not received by the FDA until December 14, 2012;  
24 the one year report was due February 23, 2013 but was not received by the FDA until March 8, 2013;  
25 and the eighteen month report due August 24, 2013 but was not received by the FDA until September  
26 12, 2013.

27 48. These actions violated the conditions of the Essure<sup>®</sup> CPMA and federal regulations and  
28 requirements governing the post-marketing conduct of Conceptus, including, but not limited to, 21 CFR

1 §§ 814.80 et seq.

2 49. The FDA also requires that upon purchase of a company holding a CPMA, the CPMA sponsor  
3 “must submit a PMA amendment to notify the FDA of the new owner... The... supplement should  
4 include: the effective date of the ownership transfer; a statement of the new owner’s commitment to  
5 comply with all the conditions of approval applicable to the PMA; and either a statement that the new  
6 owner has a complete copy of the PMA including all amendment, supplements, and reports or a request  
7 for a copy from the FDA files.”

8 50. However, no PMA supplement notifying the FDA of Conceptus’ (and the Essure<sup>®</sup> CPMA’s)  
9 change of ownership after Conceptus was acquired by Defendants was submitted. These actions  
10 violated the conditions of the Essure<sup>®</sup> CPMA and federal regulations and requirements governing the  
11 post-marketing conduct of Conceptus, including, but not limited to, 21 CFR §§ 814.39 et seq.

12 51. Defendants also violated §§ 502(q) and (r) of the FDCA by engaging in false and misleading  
13 advertising of Essure<sup>®</sup>.

14 52. Defendants continued to sell its product with misleading and false advertising in violation of the  
15 conditions of the CPMA and federal regulations and requirements. The marketing campaign for Essure<sup>®</sup>  
16 was described as follows: “Through the use of public relations and targeted advertising, we intend to  
17 increase awareness of Essure<sup>®</sup> among consumers, general practitioners and the broader medical  
18 community. In April 2003, we presented Essure<sup>®</sup> at the annual conference of the American College of  
19 Obstetricians and Gynecologists. At this meeting, we had two presentations and there was a Continuing  
20 Medical Education, or CME, accredited symposium with Essure<sup>®</sup> as the main topic. In early June 2003,  
21 we commenced a direct mail campaign to 500,000 women in the Atlanta and Chicago areas, with the  
22 goal of encouraging these women to contact our call center for additional information. In turn, our call  
23 center has the ability to offer a referral to a practicing Essure<sup>®</sup> physician in a consumer’s area. We had  
24 also conducted regional advertisement in a variety of magazines, such as *Parents* and *Self*.”

25 53. In addition, Defendants operated websites for “physicians and patients” and “established a call  
26 center for patients that are seeking additional information about Essure<sup>®</sup> and who wish to be referred to  
27 physicians that are trained to perform the Essure<sup>®</sup> procedure. Physicians that we refer our patients to are  
28

1 those that have chosen to participate in our Essure<sup>®</sup> Accredited Practice program aimed at providing an  
2 optimal patient experience.”

3 54. Defendants advertised, promoted and marketed on its website, in its print and/or video  
4 advertisements, brochures and fact sheets the following representations about Essure<sup>®</sup>:

- 5 a. “[o]nly FDA approved female sterilization procedure to have zero pregnancies in the  
6 clinical trials” or words to that effect. However, there were actually four pregnancies  
7 during the clinical trials and five pregnancies during the first year of commercial  
8 experience. Additionally, several pregnancies have been reported subsequent to Essure  
9 implantation. Between 1997-2005, 64 pregnancies were reported to Defendants. Adverse  
10 Event Report ESS 205 dated October 3, 2006 evidences a pregnancy after the three-  
11 month Confirmation Test was confirmed. Furthermore, a recent study indicates that  
12 women implanted with Essure have a ten times greater risk of pregnancy after one year  
13 than those who use laparoscopic sterilization. At ten years, the risk of pregnancy is  
14 almost four times greater. Defendants concealed this information from patients, including  
15 Plaintiff;
- 16 b. that Essure was a “[s]urgery-free” permanent birth control option or words to that effect.  
17 However, Essure is not “surgery-free.” All Essure procedures are done under  
18 hysteroscopy, which is a surgical procedure. Defendants concealed this information from  
19 patients, including Plaintiff;
- 20 c. “[w]orry free,” is a “simple procedure performed in your doctor’s office” that takes “less  
21 than 10 minutes” and “requires no downtime for recovery” and “Essure<sup>®</sup> eliminates the  
22 risks, discomfort, and recovery time associated with surgical procedures” or words to that  
23 effect. However, Defendants actively concealed and failed to report complaints of  
24 perforations and pain which occurred as a result of Essure<sup>®</sup> as noted above. Essure<sup>®</sup> can  
25 cause women serious, life-altering complications including but not limited to debilitating  
26 pain, heavy bleeding necessitating medication and/or additional surgical procedures,  
27 allergic reactions (including but not limited to rashes, itching, bloating, swelling,  
28 headaches, and hair loss), autoimmune disorders, dyspareunia, hysterectomy, and other

- 1 complications. Defendants concealed this information from patients, including Plaintiff;
- 2 d. “[t]he Essure® inserts stay secure, forming a long protective barrier against pregnancy.
- 3 They also remain visible outside your tubes, so your doctor can confirm that they’re
- 4 properly in place” or words to that effect. However, the micro-inserts do not necessarily
- 5 remain secure and can migrate and be expelled by the body, as evidenced by the multiple
- 6 complaints concerning perforation. Defendants concealed this information from patients,
- 7 including Plaintiff;
- 8 e. “[t]he Essure® inserts are made from the same trusted, silicone free material used in heart
- 9 stents” or words to that effect. However, the micro-inserts are not made from the same
- 10 material as heart stents and do not elicit tissue growth. Specifically, the micro-inserts are
- 11 made of PET fibers which trigger inflammation and scar tissue growth. PET fibers are
- 12 not designed or manufactured for use in human implantation. Moreover, Defendants also
- 13 warranted: “the long-term nature of the tissue response to the Essure® micro-insert is not
- 14 known.” However, the PET fibers are made of the same materials as the PVT material in
- 15 some vaginal meshes which have a high rate of expulsion. The Essure® inserts also
- 16 contain nickel, which can cause severe reactions in patients. Defendants concealed this
- 17 information from patients, including Plaintiff;
- 18 f. “Essure® eliminates the risks, discomfort, and recovery time associated with surgical
- 19 procedures.” However, Essure® is not “surgery-free” and can cause women serious, life-
- 20 altering complications including but not limited to debilitating pain, heavy bleeding
- 21 necessitating medication and/or additional surgical procedures, allergic reactions
- 22 (including but not limited to rashes, itching, bloating, swelling, headaches, and hair loss),
- 23 autoimmune disorders, dyspareunia, hysterectomy, and other complications. Defendants
- 24 concealed this information from patients, including Plaintiff;
- 25 g. "Essure® is the most effective permanent birth control available-even more effective than
- 26 tying your tubes or a vasectomy" or words to that effect. Yet, Defendants’ SEC Form 10-
- 27 K filing shows that Defendants never did a comparison to a vasectomy or tubal ligation.
- 28 Defendants stated, “We did not conduct a clinical trial to compare the Essure® procedure

1 to laparoscopic tubal ligation.” Defendants concealed this information from patients,  
2 including Plaintiff; and

- 3 h. “[c]orrect placement...is performed easily because of the design of the microinsert” or  
4 words to that effect. However, Defendants admitted that placement of the device requires  
5 a "skilled approach" and even admitted that their own experts in hysteroscopy (as  
6 compared to general gynecologists not on the same level as an expert hysteroscopist)  
7 failed to place the micro-inserts in one out of seven clinical participants. Defendants  
8 concealed this information from patients, including Plaintiff.

9 55. Defendants advertised, promoted and marketed on its websites, in its print and/or video  
10 advertisements, brochures, and fact sheets the following about physicians performing the Essure®  
11 procedure:

- 12 a. “[p]hysicians must be signed-off to perform Essure® procedure” or words to that effect.  
13 However, Defendants failed to adequately train the implanting physician and "signed-off"  
14 on the implanting physician who did not have the requisite training. Defendants  
15 concealed this information from patients, including Plaintiff.
- 16 b. “an Essure® trained doctor inserts spring-like coils, called micro-inserts...” or words to  
17 that effect. However, the implanting physician who implanted the device was not  
18 adequately trained. Defendants concealed this information from patients, including  
19 Plaintiff.
- 20 c. “the Essure® training program is a comprehensive course designed to provide information  
21 and skills necessary to select appropriate patients, perform competent procedures and  
22 manage technical issues related to the placement of Essure® micro-inserts for permanent  
23 birth control” or words to that effect. However, Defendants failed to adequately train the  
24 implanting physician. Defendants concealed this information from patients, including  
25 Plaintiff;
- 26 d. “[i]n order to be trained in Essure® you must be a skilled operative hysteroscopist. You  
27 will find the procedure easier to learn if you are already proficient in operative  
28 hysteroscopy and management of the awake patient. If your skills are minimal or out of

1 date, you should attend a hysteroscopy course before learning Essure<sup>®</sup>” or words to that  
2 effect. However, Defendants “signed off” on physicians who were not skilled operative  
3 hysteroscopists, in order to monopolize and capture the market, including the implanting  
4 physician. Defendants concealed this information from patients, including Plaintiff;

5 e. “[i]n order to be identified as a qualified Essure<sup>®</sup> physician, a minimum of one Essure<sup>®</sup>  
6 procedure must be performed every 6-8 weeks” or words to that effect. However,  
7 Defendants “signed off” on “Essure<sup>®</sup> physicians” who did not perform the procedure  
8 every 6-8 weeks. Defendants concealed this information from patients, including  
9 Plaintiff; and

10 f. “[t]he PET fibers are what caused the tissue growth,” and Essure<sup>®</sup> “works with your body  
11 to create a natural barrier against pregnancy” or words to that effect. However, during  
12 the PMA meeting with the FDA in 2002, Defendants represented that the trauma caused  
13 by the expanding coil striking the fallopian tubes is what causes the inflammatory  
14 response of the tissue. Defendants concealed this information from patients and the  
15 public, including Plaintiff.

16 56. On September 24 and 25, 2015, the FDA convened a public hearing concerning the safety and  
17 efficacy of the Essure<sup>®</sup> device. At that public hearing, Defendants testified as follows:

18 a. the efficacy rates for Essure<sup>®</sup> are 99.6%; in reality, studies show that the chances of  
19 becoming pregnant with Essure<sup>®</sup> are higher than with tubal ligations and higher than the  
20 rates reported by Bayer to the FDA at the public hearing;

21 b. skin patch testing is not a reliable predictor of clinically significant reactions to nickel-  
22 containing implantable devices, including Essure<sup>®</sup>; despite this, Bayer told physicians  
23 and patients that a nickel sensitivity test was sufficient to determine whether a patient was  
24 a suitable candidate for an Essure<sup>®</sup> device;

25 c. as an alternative to Essure<sup>®</sup>, laparoscopic tubal ligation is a safe and effective method of  
26 permanent birth control; in reality, studies show that the chances of becoming pregnant  
27 with Essure<sup>®</sup> are higher than with tubal ligations, and Essure<sup>®</sup> patients are much more  
28 likely to require additional surgeries to correct complications associated with the

1 sterilization procedure; and

2 d. most of the reports of adverse events to the FDA have come from consumers and not  
3 Defendants, which is unusual; in reality, Bayer's failure to file MDR's and to report to  
4 the FDA the complaints that were not addressed by the device's labeling or complaints  
5 that were occurring with an unexpected increase in severity and frequency from the more  
6 than 16,000 complaints that it has received violated the CPMA and the FDA post-  
7 marketing regulations, which prevented Plaintiff, physicians and the public from  
8 understanding the true nature of Essure<sup>®</sup>'s adverse events.

9 57. At all relevant times, Defendants' Essure<sup>®</sup> product was prescribed and used as intended by  
10 Defendants and in a manner reasonably foreseeable to Defendants.

11 **III. PLAINTIFF'S HISTORY (DEANNA ALONZO)**

12 58. On or about November-December 2013, Mrs. Alonzo presented to Dr. Stephen Carter requesting  
13 a tubal ligation as a means of permanent contraception. Dr. Carter recommended the Essure<sup>®</sup> device to  
14 Mrs. Alonzo, telling her that it involved a much shorter recovery time than a tubal ligation, was very  
15 effective at preventing pregnancy, and was lower risk than a tubal ligation, or words to that effect.

16 59. Dr. Carter provided Mrs. Alonzo with a brochure for the Essure<sup>®</sup> devices/procedure.

17 60. Mrs. Alonzo relied on the representations made in the Essure<sup>®</sup> brochure and benefits and risks as  
18 relayed by Dr. Carter in reaching her decision to have the Essure<sup>®</sup> procedure over tubal ligation.

19 61. On December 18, 2013, Mrs. Alonzo underwent the Essure<sup>®</sup> procedure, which was performed by  
20 Dr. Stephen Carter at the Chanel Islands Surgicenter.

21 62. On January 28, 2014, Mrs. Alonzo reported to Dr. Carter that she had itching all over her body,  
22 rash, elevated temperature on her skin, and pressure in her chest. Mrs. Alonzo also began experiencing  
23 migraine headaches, fatigue, dizziness, increased anxiety, and pelvic and leg pain.

24 63. Dr. Carter told Mrs. Alonzo that he had never seen these symptoms in any patient with the  
25 Essure<sup>®</sup> device and would need to contact Defendants for information on treating her symptoms.

26 64. Thereafter, Dr. Carter referred Mrs. Alonzo to Dr. Mary Gianos for allergy testing. Dr. Gianos  
27 found that Mrs. Alonzo had an allergy to nickel.

28 65. On February 12, 2014, Mrs. Alonzo reported to Dr. Carter that she was experiencing pelvic pain



1 in addition to her other previously reported symptoms. Dr. Carter recommended a total abdominal  
2 hysterectomy to remove the Essure<sup>®</sup> devices from Mrs. Alonzo's body.

3 66. On February 24, 2014, Mrs. Alonzo underwent a laparoscopic partial bilateral salpingectomy  
4 with removal of the Essure<sup>®</sup> implants bilaterally at St. John's Pleasant Valley Hospital in Camarillo,  
5 California performed by Dr. Carter and Dr. Patricia Lanter for pelvic pain and allergic reaction to the  
6 Essure<sup>®</sup> device.

7 67. Mrs. Alonzo took a medical leave of absence from her job to recover from this procedure.

8 68. Mrs. Alonzo continued to experience migraine headaches (which last for 3-4 days at a time),  
9 excessive bleeding, chronic pelvic pain, back and leg pain, and elevated blood pressure.

10 69. On June 12, 2014, Mrs. Alonzo was seen by Dr. Maynard Belzer, complaining of abnormal  
11 menstrual cycle and excessive bleeding and was prescribed an oral contraceptive to help control her  
12 menstrual bleeding.

13 70. Dr. Carter has recommended to Mrs. Alonzo that her only options for possible relief from these  
14 symptoms is a total hysterectomy.

15 71. Plaintiff did not have knowledge of facts that would lead a reasonable, prudent person to inquire  
16 or discover Defendants' tortious conduct. Under appropriate application of the discovery rule,  
17 Plaintiff's suit was filed well within the applicable statutory limitations period.

18 72. Defendants' misconduct and fraudulent concealment of the relevant facts deprived Plaintiff and  
19 her physicians of vital information essential to the pursuit of these claims, without any fault or lack of  
20 diligence on their part. Plaintiff could not reasonably have known or become aware of facts that would  
21 lead a reasonable, prudent person to make an inquiry to discover Defendants' tortious conduct.  
22 Defendants' misconduct and fraudulent concealment of the relevant facts, as described *infra*, tolls any  
23 relevant statute of limitations. Under appropriate application of the discovery rule, Plaintiff's suit is filed  
24 well within the applicable statutory limitations period.

25 73. Defendants are and were under a continuing duty to disclose the true character, quality, and  
26 nature of Essure<sup>®</sup>. Because of Defendants' misconduct and fraudulent concealment of the true character,  
27 quality, and nature of its device, Defendants are estopped from relying on any statute of limitations  
28 defense.

1 **FIRST CAUSE OF ACTION**

2 **STRICT PRODUCTS LIABILITY**

3 74. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
4 fully set forth here and further alleges as follows:

5 75. After obtaining their CPMA, Defendants owed the public, including Plaintiff, a duty to comply  
6 with the CPMA, federal regulations and requirements, and to use reasonable care in, *inter alia*, testing  
7 and inspecting their product, in monitoring and assessing the design of the Essure<sup>®</sup> devices placed into  
8 Plaintiff and accompanying implantation equipment, and in manufacturing and marketing Essure<sup>®</sup>  
9 according to the terms of the CPMA, its Supplements, the Conditions of Approval, and the federal  
10 regulations and requirements.

11 76. Because Defendants did not comply with specifications and protocols set forth in the  
12 requirements, federal regulations, PMA, Supplements, and/or the Conditions of Approval, Defendants  
13 manufactured a defective product. This failure results in a manufacturing defect that renders the device  
14 unreasonably dangerous for its intended use and Plaintiff could not have anticipated the danger the  
15 defect in this product created.

16 77. This defect was present in the device when it left the hands of the manufacturer and the device  
17 was ultimately used for the purpose in the manner for which it was normally intended. The  
18 manufacturing flaws in the Essure<sup>®</sup> were a primary and substantial cause of Plaintiff's injuries. Neither  
19 Plaintiff nor any of her treating medical professionals could have discovered the defects in time to avert  
20 her injury or prevent her damages.

21 78. The Essure<sup>®</sup> product was defective at the time of its sale and distribution, and at the time it left  
22 the possession of the Defendants, in that the product differed from the Defendants' intended result and  
23 intended design and specifications, and from other ostensibly identical units of the same product line.

24 79. Defendants violated federal law in the manufacture of Essure<sup>®</sup> and were cited by the FDA for  
25 violations of federal requirements, including, *inter alia*:

- 26 a. failing to report and actively concealing 8 perforations which occurred as a result of  
27 Essure<sup>®</sup>;
- 28 b. using non-conforming material in the manufacturing of Essure<sup>®</sup>;

- c. failing to use pre-sterile and post-sterile cages;
- d. manufacturing Essure<sup>®</sup> at an unlicensed facility;
- e. manufacturing Essure<sup>®</sup> for three years without a license to do so;
- f. failing to report complaints in which Essure<sup>®</sup> migrated;
- g. failing to report to the FDA incidents of bowel perforation, Essure<sup>®</sup> coils breaking into pieces and migrating out of the fallopian tubes;
- h. failing to report these complaints in their risk analysis for the design of Essure<sup>®</sup>;
- i. failing to have a complete risk analysis for Essure<sup>®</sup>;
- j. failing to analyze or identify existing potential causes of non-conforming product and other quality problems;
- k. failing to track the non-conforming product;
- l. failing to follow procedures used to control products which did not conform to specifications;
- m. failing to have complete Design Failure Analysis;
- n. failing to document CAPA activities for a supplier correction action;
- o. failing to disclose 16,047 complaints to the FDA as Medical Device Reports; and
- p. failing to provide the FDA with timely post-approval reports for its six months, one year, eighteen month, and two-year report schedules.

80. Defendants violated parallel California law by failing to comply with applicable federal regulations and placing the Essure<sup>®</sup> product into the stream of commerce in a defective and unreasonably dangerous condition such that the foreseeable risks exceeded the benefits associated with the manufacture, design and/or formulation.

81. Upon information and belief, the Essure<sup>®</sup> manufactured and sold by Defendants and implanted into Plaintiff was defective in manufacture because it did not comply with Defendants' own design specifications, used non-conforming material, and deviated from seemingly identical products from the same product line.

82. At all relevant times, Defendants' Essure<sup>®</sup> product was prescribed and used as intended by Defendants and in a manner reasonably foreseeable to Defendants.

1 83. At all times relevant to this action, the dangerous propensities of Essure<sup>®</sup> were known to  
2 Defendants or were reasonably and scientifically knowable to them, through appropriate research and  
3 testing by known methods, at the time they distributed, supplied, or sold the device, and not known to  
4 ordinary physicians who would be expected to prescribe Essure<sup>®</sup> for their patients.

5 84. The Essure<sup>®</sup> manufactured, designed, marketed, and sold by Defendants was expected to, and  
6 did, reach Plaintiff without substantial change in the condition in which it was sold.

7 85. Defendants knew that physicians and other healthcare providers began commonly prescribing  
8 this product as a safe and effective contraceptive device despite its lack of efficacy and potential for  
9 serious severe and permanent side effects.

10 86. Defendants failed to adequately visually inspect Essure<sup>®</sup> after completion of assembly and  
11 immediately before delivery to Plaintiff.

12 87. Upon information and belief, when Essure<sup>®</sup> was manufactured, Defendants had the technological  
13 capability to design and manufacture Essure<sup>®</sup> in a reasonably safe manner and is held to the level of  
14 knowledge of an expert in the field.

15 88. Defendants were entitled to withdraw Essure<sup>®</sup> from the market at any time or provide adequate  
16 warnings to consumers and the medical community, but failed to do so in a timely and responsibly  
17 manner.

18 89. Essure<sup>®</sup>, which was manufactured, distributed, tested, sold, marketed, advertised, and  
19 represented defectively by Defendants was a substantial contributing factor in bringing about Plaintiff's  
20 injuries and would not have occurred but for the use of Essure<sup>®</sup>.

21 90. The defective warnings were a substantial contributing factor in bringing about the injuries to  
22 Plaintiff that would not have occurred but for the use of Essure<sup>®</sup>.

23 91. As a proximate result of the Essure<sup>®</sup>'s defective condition at the time it was sold, Plaintiff  
24 suffered and will continue to suffer severe physical injuries, severe emotional distress, mental anguish,  
25 economic losses and other damages for which she is entitled to compensatory and other damages in an  
26 amount to be proved at trial.

27 92. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.  
28

1 **SECOND CAUSE OF ACTION**

2 **NEGLIGENT FAILURE TO WARN**

3 93. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
4 fully set forth here and further alleges as follows:

5 94. Defendants designed, formulated, tested, packaged, labeled, produced, created, made,  
6 constructed, assembled, advertised, manufactured, sold, distributed, marketed, and promoted Essure<sup>®</sup>,  
7 including the Essure<sup>®</sup> devices that were implanted into Plaintiff.

8 95. The FDCA requires medical device manufacturers like Defendants to maintain and submit  
9 information as required by FDA regulation, 21 U.S.C. § 360i, including submitting Adverse Reaction  
10 Reports, 21 C.F.R. § 803.50, and establishing internal procedures for reviewing complaints and event  
11 reports, 21 C.F.R. § 820.198(a).

12 96. Defendants have a continuing duty to monitor their product post-approval and to discover and  
13 report to the FDA any complaints about product performance and any health consequences of which  
14 they are aware that may be attributable to the product. Defendants also have a continuing duty to  
15 provide ongoing warnings and instructions regarding safety hazards associated with the Essure<sup>®</sup> device.

16 97. The Defendants breached their duty in that they failed to warn Plaintiff and her physician by  
17 failing to communicate to the FDA via federally mandated Adverse Event Reports prior to the time of  
18 Plaintiff's implant, including failure to communicate adverse events similar to the injuries suffered by  
19 the Plaintiff. The FDA publishes the adverse events and MDRs in a public, searchable database called  
20 MAUDE and updates the report monthly with "all reports received prior to the update." The general  
21 public, including physicians and patients, may use the MAUDE database to obtain safety data on  
22 medical devices. See <http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfMAUDE/search.cfm>. Had  
23 Defendants complied with the federal requirements and timely and adequately reported the adverse  
24 events as required by federal law, additional information would have been available to Plaintiff and/or  
25 Plaintiff's physician regarding the dangers of Essure that were known or knowable to Defendants at the  
26 time of distribution.

27 98. Defendants also had a parallel duty under California law to exercise reasonable care in warning  
28 the public, including Plaintiff and/or Plaintiff's physicians, about the dangers of Essure<sup>®</sup> that were

1 known or knowable to Defendants at the time of distribution.

2 99. Defendants' failure to adequately and timely report adverse events is a violation of the federal  
3 requirements and state law.

4 100. Specifically, Defendants breached these duties and violated federal law by, *inter alia*:

- 5 a. receiving and failing to properly report 16,047 complaints about Essure<sup>®</sup> to the FDA;
- 6 b. receiving information and complaints about Essure<sup>®</sup>, including complaints relating to the  
7 Essure<sup>®</sup> devices migrating outside the fallopian tube and causing perforations, and failing  
8 to report this information to the FDA or the public;

9 101. Had Defendants properly and timely reported the adverse events to the FDA as required under  
10 federal law, it would have effectively warned physicians, including Plaintiff's physician, of those  
11 adverse events both directly and through discussion of those events that would have followed in the  
12 literature and at meetings. It would also have provided more complete information to the public-at large  
13 through the FDA's MAUDE database.

14 102. If Plaintiff had been aware of these adverse events, she would not have agreed to the Essure<sup>®</sup>  
15 implant and, upon information and belief, her physician would not have recommended the implant for  
16 her.

17 103. As a proximate and legal result of Defendants' failure to comply with its CPMA and federal  
18 regulations and requirements, Defendants breached their duty of care to Plaintiff under California law  
19 and caused Plaintiff past and future suffering, including severe physical injuries, severe emotional  
20 distress, mental anguish, economic losses and other damages for which she is entitled to compensatory  
21 and other damages in an amount to be proved at trial.

22 104. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

23 **THIRD CAUSE OF ACTION**

24 **NEGLIGENCE IN MANUFACTURING**

25 105. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
26 fully set forth here and further alleges as follows:

27 106. Defendants have a duty to manufacture the Essure<sup>®</sup> devices consistent with specifications,  
28 CPMA, and/or conditions of approval. Specifically, under the FDCA, the Defendants are required to:

- a. establish and maintain procedures for validating the device design, including testing of production units under actual or simulated use conditions, creation of a risk plan, and conducting risk analyses; 21 CFR § 820.30 et seq.;
- b. document all Corrective Action and Preventative Actions taken by the Manufacturer to address non-conformance and other internal quality control issues; 21 CFR § 820.100 et seq.
- c. establish Quality Management System (QMS) procedures to assess potential causes of non-conforming products and other quality problems; 21 CFR 820.70 et seq.; 21 CFR § 820.90 et seq.

107. Defendants also had a parallel duty under California law to exercise reasonable care in manufacturing their Essure<sup>®</sup> devices to comply with the federal requirements, including the CPMA, its Supplements, the device specifications and applicable federal regulations.

108. Defendants breached this duty by failing to comply with the federal requirements and by manufacturing actual Essure<sup>®</sup> devices that differ from the specifications set forth in the CPMA, its Supplements, the Conditions of Approval and/or other federal regulations.

109. As a proximate and legal result of Defendants' failure to manufacture the Essure<sup>®</sup> devices consistent with federal requirements, Plaintiff has suffered and will continue to suffer severe physical injuries, severe emotional distress, mental anguish, economic losses and other damages for which she is entitled to compensatory and other damages in an amount to be proved at trial.

110. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

**FOURTH CAUSE OF ACTION**

**NEGLIGENCE / NEGLIGENCE PER SE**

111. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:

112. Defendants were and are under a continuing duty to comply with the federal requirements, including the CPMA, its Supplements, the Conditions of Approval, and with the Federal Food, Drug, and Cosmetic Act in the manufacture, development, design, marketing, labeling, distributing, and sale of Essure<sup>®</sup> and its implementing. *See* Essure<sup>®</sup> CPMA; 21 U.S.C. ch. 9 § 301 et seq.

1 113. Plaintiff alleges the federal regulations and requirements define the standard of care, and thus,  
2 Defendants duties are contained in, but not limited to, the following: 21 CFR 803.10; 21 CFR 803.50; 21  
3 CFR 803.52; 21 CFR 803.53; 21 CFR 803.56; 21, CFR 806; 21 CFR 814.1; 21 CFR 814.3; 21 CFR  
4 814.9; 21 CFR 814.20; 21 CFR 814.37; 21 CFR 814.39; 21 CFR 814.80; 21 CFR 814.82; 21 CFR  
5 814.84; 21 CFR 820.5; 21 CFR 820.20; 21 CFR 820.22; 21 CFR 820.25; 21 CFR 820.70.

6 114. Plaintiff is within the class of persons the statutes and regulations protect and Plaintiff's injuries  
7 are the type of harm these statutes and regulations are to prevent.

8 115. The conditions for CPMA for the Essure<sup>®</sup> devices incorporate these statutes and regulations.  
9 Failure to comply with the conditions of approval invalidates the approval order. *See* 21 CFR 814.82(c).  
10 Defendants failed to comply with the conditions of the CMPA and Federal Regulations.

11 116. Specifically, Defendants violated federal law and/or were cited by the FDA for, inter alia:

- 12 a. failing to report and actively concealing 8 perforations which occurred as a result of  
13 Essure<sup>®</sup>;
- 14 b. failing to establish Quality Control Procedures to assess potential causes of non-  
15 conforming products and other quality problems with the products, such as latent  
16 manufacturing defects
- 17 c. failing to use pre-sterile and post-sterile cages;
- 18 d. manufacturing Essure<sup>®</sup> at an unlicensed facility;
- 19 e. manufacturing Essure<sup>®</sup> for three years without a license to do so;
- 20 f. not reporting complaints, including complaints in which Essure<sup>®</sup> migrated;
- 21 g. not reporting to the FDA incidents of pain, bowel perforation, Essure<sup>®</sup> coils breaking into  
22 pieces and migrating out of the fallopian tubes;
- 23 h. not considering these complaints in their risk analysis for the design of Essure<sup>®</sup>;
- 24 i. failing to have a complete risk analysis for Essure<sup>®</sup>;
- 25 j. failing to analyze or identify existing potential causes of non-conforming product and  
26 other quality problems;
- 27 k. failing to track the non-conforming product;
- 28 l. failing to follow procedures used to control products which did not conform to



1 specifications;

2 m. failing to have complete Design Failure Analysis;

3 n. failing to document CAPA activities for a supplier correction action;

4 o. failing to disclose 16,047 complaints to the FDA as Medical Device Reports; and

5 p. failing to provide the FDA with timely post-approval reports for its six month, one year,  
6 eighteen month, and two-year report schedules.

7 117. Defendants had a parallel duty under California law to exercise reasonable care in testing and  
8 inspecting their product, in monitoring the design of the Essure<sup>®</sup> placed into Plaintiff, in performing  
9 continuing risk-analysis and risk assessments of the Essure<sup>®</sup> device, and in manufacturing and marketing  
10 Essure<sup>®</sup> to the public.

11 118. Defendants were negligent under this parallel California law in its development, design,  
12 marketing, manufacture, distribution, and/or sale of Essure<sup>®</sup> in one or more of the following particulars:

13 a. in failing to properly meet the applicable standard of care by not complying with  
14 applicable federal regulations;

15 b. carelessly and negligently selling and distributing Essure<sup>®</sup> in violation of the CPMA and  
16 federal law;

17 c. negligently incorporating into the design and assembly of the Essure<sup>®</sup> parts that could not  
18 stand up to normal usage;

19 d. failing to exercise reasonable care in its inspecting and testing of the product; and

20 e. failing to exercise reasonable care in its manufacturing and quality control processes.

21 119. Defendants failed to exercise ordinary care in the manufacture, sale, testing, quality assurance,  
22 quality control, and/or distribution of Essure<sup>®</sup>.

23 120. Despite the fact that Defendants knew or should have known that Essure<sup>®</sup> caused unreasonable,  
24 dangerous side effects, Defendants continued to market Essure<sup>®</sup> to consumers, including Plaintiff and  
25 her healthcare providers.

26 121. Defendants knew or should have known that consumers such as Plaintiff would foreseeably  
27 suffer injury as a result of Defendants' failure to exercise ordinary care as described above.

28 122. Had Defendants exercised ordinary care, and complied with the then existing standards of care,

1 Plaintiff would not have been injured.

2 123. As a proximate and legal result of Defendants' failure to exercise reasonable care and the  
3 resulting defective condition of Essure<sup>®</sup>, Plaintiff suffered and will continue to suffer severe physical  
4 injuries, severe emotional distress, mental anguish, economic losses and other damages for which she is  
5 entitled to compensatory and other damages in an amount to be proved at trial.

6 124. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

7  
8 **FIFTH CAUSE OF ACTION**

9 **NEGLIGENT MISREPRESENTATION**

10 125. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
11 fully set forth here and further alleges as follows:

12 126. Defendants owed a duty in all of its several undertakings, including the communication of  
13 information concerning Essure<sup>®</sup>, and to exercise reasonable care to ensure that it did not, in those  
14 undertakings, create unreasonable risks of personal injury to others.

15 127. Defendants, in the course of its business profession, knowingly and negligently disseminated  
16 information to physicians concerning the properties and effects of Essure<sup>®</sup>, with the intent and  
17 expectation that physicians would rely on that information in their decisions in recommending and  
18 prescribing the Essure<sup>®</sup> device for their patients.

19 128. When Defendants disseminated information to physicians and/or patients concerning the  
20 properties and effects of Essure<sup>®</sup>, they knew or should have known that physicians and/or patients would  
21 reasonably rely on that information in their decisions concerning the use of Essure<sup>®</sup>.

22 129. Defendants disseminated false information, as described above, to physicians and the medical  
23 community and to their patients with knowledge that the information was false or in conscious its truth  
24 or falsity.

25 130. Defendants made misrepresentations which are specifically outlined in Paragraphs 52-56.

26 131. Defendants made these misrepresentations and concealed adverse information at a time when  
27 Defendants knew, or should have known, that Essure had defects, dangers, and characteristics that were  
28 other than what Defendants had represented to consumers and the health care industry generally.

1 132. Defendants had no reasonable grounds for believing these representations were true when they  
2 were made; in fact, Defendants knew the representations to be false.

3 133. Defendants disseminated the false information, as referenced above, to physicians, the medical  
4 community, and the public with the intention to deceive physicians and their patients and to induce the  
5 physicians to prescribe Essure®.

6 134. Defendants failed to exercise reasonable care to ensure that the information disseminated to  
7 physicians concerning the properties and effects of Essure® was accurate and not misleading.

8 135. Defendants expected or should have expected that patients implanted with Essure® in reliance on  
9 false information would be placed in unnecessary, avoidable, and unreasonable danger due to  
10 unwarranted exposure to the device.

11 136. Plaintiff and/or Plaintiff's physicians did in fact reasonably rely on Defendants' negligent  
12 misrepresentations, as Defendants intended. Specifically, Plaintiff would have never had the Essure®  
13 implanted had she been aware that there were 8 perforations of human cavities, that there had been  
14 16,047 complaints regarding Essure®, or the falsity of the representations specifically delineated in the  
15 preceding paragraphs.

16 137. As a proximate and foreseeable result of the foregoing misrepresentations by Defendants,  
17 Plaintiff suffered and will continue to suffer severe physical injuries, severe emotional distress, mental  
18 anguish, economic losses and other damages for which she is entitled to compensatory and other  
19 damages in an amount to be proved at trial.

20 138. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

21 **SIXTH CAUSE OF ACTION**

22 **FRAUDULENT CONCEALMENT**

23 139. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
24 fully set forth here and further alleges as follows:

25 140. At all times mentioned in this Complaint, Defendants had the duty and obligation to disclose to  
26 Plaintiff and/or her healthcare providers, the true facts concerning Essure®.

27 141. Defendants concealed material facts concerning Essure® from Plaintiff and/or her physicians and  
28 healthcare providers, including the following:

- 1 a. Defendants received and fraudulently concealed 16,047 complaints regarding Essure®  
2 where pain was experienced by consumers. The FDA’s Establishment Inspection Report  
3 on June 26, 2013 states: “the inspection found that the firm was not reporting as MDRs  
4 complaints in which their product migrated from the fallopian tube into the peritoneal  
5 cavity, the firm did not consider these complaints in their risk analysis for the design of  
6 their product, and the firm failed to document CAPA activities.”
- 7 b. Defendants fraudulently concealed 8 perforations which were caused by Essure® and  
8 which Defendants failed to disclose to Plaintiff, Plaintiff’s healthcare providers, and the  
9 FDA. The FDA memorialized this concealment in its Investigative Report and Form 483  
10 dated January 25, 2011, stating: “the firm had not properly evaluated eight complaints of  
11 peritoneal perforation for reporting to the FDA as an adverse event. Also, the firm’s risk  
12 analysis did not include an evaluation of the risk associated with perforation of the  
13 peritoneal cavity.”
- 14 c. On January 6, 2011, the FDA issued a violation to Defendants for not submitting timely  
15 MDR reports when it received information that reasonably suggested that Essure® “may  
16 have caused or contributed to a death or serious injury if the malfunction were to recur.”  
17 This information included incidents regarding perforation of bowels, Essure® coils  
18 breaking into pieces, and Essure® coils migrating out of fallopian tubes. Defendants had  
19 notice of 168 perforations but only disclosed 22 to the FDA.
- 20 d. On January 6, 2011, the FDA cited Defendants for failing to document Corrective and  
21 Preventive Action Activities. Specifically, the FDA found that there were failures in  
22 Defendants’ Design. In addition, Defendants’ CAPA did not mention the non-conformity  
23 of materials used in Essure® or certain detachment failures, despite Defendants’  
24 engineers’ knowledge of same.

25 142. Defendants made affirmative representations to Plaintiff and/or her physicians before Essure®  
26 was implanted in Plaintiff that Essure® was safe and effective while concealing the material facts set  
27 forth herein with the intent or purpose that Plaintiff, her physicians, and the healthcare industry would  
28 rely on them, leading to the use of Essure® by Plaintiff.

1 143. Defendants intentionally, willfully, and maliciously concealed or suppressed the facts set forth  
2 above from Plaintiff and her physicians, with the intent to defraud as alleged herein.

3 144. Neither Plaintiff nor her healthcare providers were aware of the concealed facts set forth herein.  
4 Had they been aware of those facts, they would not have used Essure<sup>®</sup>, and Plaintiff would not have  
5 been injured as a result.

6 145. Plaintiff and her physicians justifiably relied on and/or were induced by Defendants'  
7 misrepresentations and/or concealment. Specifically, Plaintiff would never have had the Essure<sup>®</sup>  
8 implanted had she been aware that there were 8 perforations of human cavities or that there had been  
9 16,047 complaints regarding Essure<sup>®</sup>.

10 146. Plaintiff, her physicians, and the healthcare industry, justifiably relied on Defendants'  
11 misrepresentations that Essure<sup>®</sup> was safe and effective as it is reasonable that Plaintiff, her physicians,  
12 and the healthcare industry would rely on the statements of Defendants regarding whether Essure<sup>®</sup> was  
13 safe because as the manufacturer, Defendants were held to the level of knowledge of an expert in the  
14 field.

15 147. Defendants had a post-sale duty to warn Plaintiff, her physicians, and the general public about  
16 the potential risks and complications associated with Essure<sup>®</sup> in a timely manner.

17 148. As a proximate result of the concealment or suppression of the facts set forth above, Plaintiff and  
18 her healthcare providers reasonably relied on Defendants' deception and, Plaintiff was implanted with  
19 Essure<sup>®</sup> and subsequently sustained injuries and damages as described here. Defendants' concealment  
20 was a substantial contributing factor in causing Plaintiff's injuries.

21 149. As a result of the foregoing fraudulent and deceitful conduct by Defendants, Plaintiff seeks  
22 punitive damages according to proof.

23 150. As a result of the foregoing fraudulent and deceitful conduct by Defendants, Plaintiff suffered  
24 and will continue to suffer severe physical injuries, severe emotional distress, mental anguish, economic  
25 losses and other damages for which she is entitled to compensatory and other damages in an amount to  
26 be proved at trial.

27 151. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

28 **SEVENTH CAUSE OF ACTION**

**FRAUDULENT/INTENTIONAL DECEIT**

152. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if fully set forth here and further alleges as follows:

153. California Civil Code section 1709 provides that one who willfully deceives another with intent to induce him to alter his position to his injury or risk, is liable for any damages which he thereby suffers.

154. California Civil Code section 1710 provides, in part, that a deceit, within the meaning of section 1709, is the suggestion, as a fact, of that which is not true, by one who does not believe it to be true; the assertion, as a fact, of that which is not true, by one who has no reasonable ground for believing it to be true; or the suppression of fact, by one who is bound to disclose it, or who gives information of other facts which are likely to mislead for want of communication of that fact.

155. The Defendants willfully deceived the Plaintiff and her healthcare providers, the medical community, and the public in general, by suggesting untrue facts about their product that they knew to be false or had no reasonable ground for believing to be true, and by concealing material information concerning Essure<sup>®</sup>, which the Defendants had a duty to disclose.

156. At the time Essure<sup>®</sup> was manufactured, distributed, and sold to Plaintiff, the Defendants were in a unique position of knowledge concerning the safety and effectiveness of Essure<sup>®</sup>, and thereby held a position of superiority over Plaintiff and her physicians.

157. Through their unique knowledge and expertise regarding the defective nature of Essure<sup>®</sup>, and through their marketing statements to physicians and patients in advertisements, promotional materials, labels and other communications as herein alleged, Defendants professed to physicians that they were in possession of facts demonstrating that Essure<sup>®</sup> was safe and effective for its intended use and was not defective, when in fact Defendants concealed material information that they had a duty to disclose to ensure such physicians were not misled.

158. Defendants intentionally and/or recklessly made false representations to Plaintiff and/or her physicians. Defendants made such representations to intentionally defraud Plaintiff and her physicians to induce the purchase of Essure<sup>®</sup>.

159. Plaintiff and/or her healthcare providers reasonably relied on these false and misleading

1 representations. Specifically, Plaintiff would have never had Essure<sup>®</sup> implanted had she been aware that  
2 there were 8 perforations of human cavities, that there had been 16,047 complaints regarding Essure<sup>®</sup>, or  
3 the falsity of the representations specifically delineated in Paragraphs 52-56.

4 160. Defendants took unconscionable advantage of their dominant position of knowledge with regard  
5 to Essure<sup>®</sup>.

6 161. Defendants intentionally concealed and suppressed the true facts concerning Essure<sup>®</sup> with the  
7 intent to defraud Plaintiff, her physician, the medical, scientific and healthcare community, and the  
8 general public, and to induce Plaintiff and/or her physician to use Essure<sup>®</sup>. Plaintiff would not have used  
9 Essure<sup>®</sup> if she had known the true facts concerning the dangers of the product.

10 162. As a result of the foregoing fraudulent and deceitful conduct by Defendants, Plaintiff has  
11 suffered and continues to suffer severe physical injuries, severe emotional distress, mental anguish,  
12 economic losses and other damages for which she is entitled to compensatory and other damages in an  
13 amount to be proved at trial.

14 163. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

15 **EIGHTH CAUSE OF ACTION**

16 **VIOLATIONS OF CALIFORNIA BUSINESS & PROFESSIONS CODE §17200, ET SEQ.**

17 170. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
18 fully set forth here and further alleges as follows:

19 171. California Business & Professions Code § 17200 provides that unfair competition shall mean and  
20 include “all unlawful, unfair or fraudulent business practices and unfair, deceptive, untrue or misleading  
21 advertising.”

22 172. Defendants have a statutory duty to refrain from unfair or deceptive acts or practices in the  
23 design, development, manufacture, promotion and sale of their products.

24 173. The acts and practices described above were and are likely to mislead the general public and  
25 therefore constitute unfair business practices within the meaning of California Business & Professions  
26 Code § 17200. The acts of untrue and misleading advertising set forth in preceding paragraphs are  
27 incorporated by reference and are, by definition, violations of California Business & Professions Code §  
28 17200. This conduct is set forth fully herein, and includes, but is not limited to:

- a. representing that Essure<sup>®</sup> was safe, fit, and effective for human use, knowing that said representations were false, and concealing that Essure<sup>®</sup> products had a serious propensity to cause injuries to users;
- b. engaging in advertising programs designed to create the image, impression and belief by consumers and physicians that Essure<sup>®</sup> was safer than other forms of permanent contraception, even though Defendants knew this to be false, and even though Defendants had no reasonable grounds to believe them to be true;
- c. purposely downplaying and understating the health hazards and risks associated with Essure<sup>®</sup>;
- d. issuing promotional literature and commercials deceiving potential users of Essure<sup>®</sup> by relaying positive information, while downplaying the known adverse and serious health effects and concealing material relevant information regarding the safety and efficacy of Essure<sup>®</sup>;
- e. failing to provide prescribing physicians with appropriate information to protect patients, including Plaintiff, by failing to disclose complaints regarding Essure<sup>®</sup>, failing to conduct proper pharmacovigilance, signal detection and follow up, and failing to disclose safety issues and safe prescribing practices for Essure<sup>®</sup> to physicians and healthcare providers.

174. These practices constitute unlawful, unfair and fraudulent business acts or practices, within the meaning of California Business & Professions Code § 17200.

175. Had Defendants not engaged in the deceptive conduct described herein, Plaintiff would not have purchased and/or paid for Essure<sup>®</sup> and would not have incurred related medical costs and injury.

176. Defendants engaged in wrongful conduct, while at the same time obtaining under false pretenses, substantial sums of money from Plaintiff for the defective Essure<sup>®</sup> that would not have been paid had Defendants not engaged in unfair and deceptive conduct.

177. Plaintiff was injured by the cumulative and indivisible nature of Defendants' conduct. The cumulative effect of Defendants' conduct directed at patients, physicians and consumers was to create demand for and sell Essure<sup>®</sup>. Each aspect of Defendants' conduct combined to artificially create sales of Essure<sup>®</sup>.



1 178. Plaintiff and the medical community relied upon Defendants' misrepresentations and omissions  
2 in deciding whether to use Essure®.

3 179. The unlawful, unfair and fraudulent business practices of Defendants described above present a  
4 continuing threat to members of the public in that Defendants continue to engage in the conduct  
5 described herein.

6 180. As a result of their conduct described above, Defendants have been and will be unjustly  
7 enriched. Specifically, Defendants have been unjustly enriched by receipt of hundreds of millions of  
8 dollars from the sale and prescription of Defendants' Essure® products in California, sold in large part as  
9 a result of the acts and omissions described here.

10 181. Defendants are liable to Plaintiff for all general, special and injunctive relief to which Plaintiff is  
11 entitled by law. Under statutes enacted in California to protect consumers against unfair, deceptive,  
12 fraudulent and unconscionable trade and business practices and false advertising, Plaintiff is a consumer  
13 who purchased Essure® pursuant to a consumer transaction for personal use and is, therefore, subject to  
14 protection under such legislation.

15 182. Under statutes enacted in California to protect consumers against unfair, deceptive, fraudulent  
16 and unconscionable trade and business practices and false advertising, Defendants are the supplier,  
17 manufacturer, advertiser, and sellers, who are subject to liability under such legislation for unfair,  
18 deceptive, fraudulent, and unconscionable consumer sales practices.

19 183. Defendants violated the statutes enacted in California to protect consumers against unfair,  
20 deceptive, fraudulent and unconscionable trade and business practices and false advertising, by  
21 knowingly and falsely representing that Essure® was fit to be used for the purpose for which it was  
22 intended, when in fact Essure® was defective and dangerous as described above. These representations  
23 were made to Plaintiff, her physician and the medical community at large.

24 184. The actions and omissions of Defendants alleged herein are uncured or incurable deceptive acts  
25 under the statutes enacted in California to protect consumers against unfair, deceptive, fraudulent and  
26 unconscionable trade and business practices and false advertising.

27 185. Defendants had actual knowledge of the defective and dangerous condition of Essure®, and  
28 failed to take any action to cure such defective and dangerous conditions.

1 186. As a direct and proximate result of Defendant's violations of Business and Professions Code §  
2 17200, Plaintiff has sustained economic losses and other damages and is entitled to statutory,  
3 compensatory, injunctive and declaratory relief in an amount to be proven at trial.

4 187. Plaintiff, pursuant to California Business & Professions Code § 17203, seeks an order of this  
5 Court compelling Defendants to provide restitution and cease unfair business practices in the future.

6 188. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

7 **NINTH CAUSE OF ACTION**

8 **VIOLATIONS OF BUSINESS & PROFESSIONS CODE 17500, ET SEQ.**

9 189. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
10 fully set forth here and further alleges as follows:

11 190. Plaintiff brings this cause of action pursuant to California Business & Professions Code § 17500.

12 191. California Business & Professions Code § 17500 provides that it is unlawful for any person,  
13 firm, corporation or association to dispose of property or perform services, or to induce the public to  
14 enter into any obligation relating thereto, through the use of untrue or misleading statements.

15 192. At all times herein alleged Defendants have committed acts of disseminating untrue and  
16 misleading statements as defined by California Business & Professions Code § 17500 by engaging in the  
17 following acts and practices with intent to induce members of the public to purchase and use  
18 Defendants' Essure<sup>®</sup> product:

- 19 a. representing that Essure<sup>®</sup> was safe, fit, and effective for human use, knowing that said  
20 representations were false, and concealing that Essure<sup>®</sup> products had a serious propensity  
21 to cause injuries to users;
- 22 b. engaging in advertising programs designed to create the image, impression and belief by  
23 consumers and physicians that Essure<sup>®</sup> was safer than other forms of permanent  
24 contraception, even though Defendants knew this to be false, and even though  
25 Defendants had no reasonable grounds to believe them to be true;
- 26 c. purposely downplaying and understating the health hazards and risks associated with  
27 Essure<sup>®</sup>.
- 28 d. issuing promotional literature and commercials deceiving potential users of Essure<sup>®</sup> by

1 relaying positive information, while downplaying the known adverse and serious health  
2 effects and concealing material relevant information regarding the safety and efficacy of  
3 Essure®; and/or

- 4 e. failing to provide physicians with appropriate information to protect patients, including  
5 Plaintiff, by failing to disclose complaints regarding Essure®, failing to conduct proper  
6 pharmacovigilance, signal detection and follow up, and failing to disclose safety issues  
7 and safe prescribing practices for Essure® to physicians and healthcare providers.

8 193. The foregoing practices constitute false and misleading advertising within the meaning of  
9 California Business & Professions Code § 17500.

10 194. The acts of untrue and misleading statements by Defendants described here present a continuing  
11 threat to members of the public in that the acts alleged herein are continuous and ongoing, and the public  
12 will continue to suffer the harm alleged herein.

13 195. As a result of their conduct described above, Defendants have been and will be unjustly  
14 enriched. Specifically, Defendants have been unjustly enriched by receipt of hundreds of millions of  
15 dollars from the sale and prescription of Essure® in California, sold in large part as a result of the acts  
16 and omissions described here.

17 196. Pursuant to California Business & Professions Code § 17535, Plaintiff seeks an order of this  
18 court compelling the Defendants to provide restitution and injunctive relief calling for Defendants to  
19 cease unfair business practices in the future.

20 197. Plaintiff seeks restitution of the monies collected by Defendants and other injunctive relief to  
21 cease such false and misleading advertising in the future.

22 198. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

23 **TENTH CAUSE OF ACTION**

24 **VIOLATIONS OF CAL. CIVIL CODE §1750**

25 199. Plaintiff incorporates by reference all previous and subsequent paragraphs of this Complaint as if  
26 fully set forth here and further alleges as follows:

27 200. Plaintiff is informed and believes and thereon allege that Defendants, by the acts and misconduct  
28 alleged herein, violated the Consumers Legal Remedies Act, California Civil Code §§ 1750 et. seq.

1 (“CLRA”).

2 201. Plaintiff hereby seeks injunctive relief as appropriate against Defendants for their violations of  
3 California Civil Code §§ 1750 et. seq. The CLRA applies to Defendants’ actions and conduct described  
4 herein because it extends to transactions which are intended to result, or which have resulted, in the sale  
5 of goods to consumers.

6 202. Plaintiff is a “consumer” within the meaning of California Civil Code § 1761(d).

7 203. Defendants have violated, and continue to violate, the CLRA in representing that goods have  
8 characteristics and benefits which they do not have, in violation of California Civil Code § 1770(a)(5).

9 204. Defendants have committed acts of disseminating untrue and misleading statements as defined  
10 by California Civil Code § 1770, by engaging in the following acts and practices with intent to induce  
11 members of the public to purchase and use Essure®:

- 12 a. representing that Essure® was safe, fit, and effective for human use, knowing that said  
13 representations were false, and concealing that Essure® products had a serious propensity  
14 to cause injuries to users;
- 15 b. engaging in advertising programs designed to create the image, impression and belief by  
16 consumers and physicians that Essure® was safer than other forms of permanent  
17 contraception, even though Defendants knew this to be false, and even though  
18 Defendants had no reasonable grounds to believe them to be true;
- 19 c. purposely downplaying and understating the health hazards and risks associated with  
20 Essure®;
- 21 d. issuing promotional literature and commercials deceiving potential users of Essure® by  
22 relaying positive information, while downplaying the known adverse and serious health  
23 effects and concealing material relevant information regarding the safety and efficacy of  
24 Essure®; and/or
- 25 e. failing to provide prescribing physicians with appropriate information to protect patients,  
26 including Plaintiff, by failing to disclose complaints regarding Essure®, failing to conduct  
27 proper pharmacovigilance, signal detection and follow up, and failing to disclose safety  
28 issues and safe prescribing practices for Essure® to physicians and healthcare providers.

1 205. The foregoing practices constitute false and misleading advertising and representations within  
2 the meaning of California Civil Code § 1770. Defendants' untrue and misleading statements described  
3 here present a continuing threat to members of the public and individual consumers in that the acts are  
4 continuous and ongoing, and the public and individual consumers will continue to suffer harm as alleged  
5 herein. Unless Defendants are enjoined from continuing to engage in these violations of the CLRA,  
6 Plaintiff will continue to be harmed by the wrongful actions and conduct of Defendants. Pursuant to  
7 California Civil Code § 1780, Plaintiff seeks an order of this court for injunctive relief calling for  
8 Defendants, and each of them, to cease such deceptive business practices in the future.

9 206. WHEREFORE, Plaintiff prays for judgment against Defendants as hereinafter set forth.

10  
11 **REQUEST FOR PUNITIVE DAMAGES**

12 207. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set forth  
13 herein and further alleges as follows:

14 208. At all times relevant herein, Defendants:

- 15 a. knew or should have known that Essure<sup>®</sup> was dangerous and ineffective;
- 16 b. concealed the dangers and health risks from Plaintiff, physicians, other medical  
17 providers, the FDA, and the public at large;
- 18 c. attempted to misrepresent and did knowingly make misrepresentations to Plaintiff, her  
19 physicians, hospitals, and other medical providers, and the public in general as previously  
20 stated herein as to the safety and efficacy of Essure<sup>®</sup>; and
- 21 d. with full knowledge of the health risks associated with Essure<sup>®</sup> and without adequate  
22 warnings of the same, manufactured, designed, formulated, tested, packaged, labeled,  
23 produced, created, made, constructed, assembled, marketed, advertised, distributed and  
24 sold Essure<sup>®</sup> for use.

25 209. Defendants, by and through its officers, directors, managing agents, authorized sales  
26 representatives, employees and/or other agents who engaged in malicious, fraudulent and oppressive  
27 conduct towards Plaintiff and the public, acted with willful, wanton, conscious, and/or reckless  
28 disregard for the safety of Plaintiff and the general public.

1 210. Defendants' misrepresentations included knowingly withholding material information from the  
2 medical community and the public, including Plaintiff, concerning the safety of Essure<sup>®</sup>. Defendants'  
3 conduct was willful, wanton, and undertaken with a disregard for Plaintiff's rights.

4 211. Notwithstanding the foregoing, Defendants continued to market Essure<sup>®</sup> to consumers, including  
5 Plaintiff herein, without disclosing the risks.

6 212. Defendants knew of Essure<sup>®</sup>'s lack of warnings, but intentionally concealed and/or recklessly  
7 failed to disclose that risk and continued to market, distribute, and sell Essure<sup>®</sup> without said warnings so  
8 as to maximize sales and profits at the expense of the health and safety of the public, including Plaintiff  
9 herein, in conscious and/or negligent disregard of the foreseeable harm caused by Essure<sup>®</sup>.

10 213. Defendants' intentional and/or reckless failure to disclose information deprived Plaintiff of  
11 necessary information to enable her to weigh the true risks of using Essure<sup>®</sup> against its benefits.

12 214. As a direct and proximate result of one or more of these wrongful acts or omissions of  
13 Defendants, Plaintiff suffered profound injuries that required medical treatment and incurred medical  
14 and hospital expenses, for which Plaintiff has become liable.

15 215. Defendants are liable jointly and/or severally for all general, special and compensatory damages  
16 and equitable relief to which Plaintiff is entitled by law. Plaintiff seeks actual and punitive damages  
17 from Defendants and alleges that the conduct of Defendants was committed with knowing, conscious,  
18 careless, reckless, willful, wanton, deliberate, and grossly negligent disregard for the rights and safety of  
19 consumers, including Plaintiff, thereby entitling Plaintiff to punitive damages in an amount appropriate  
20 to punish Defendants and deter them from similar conduct in the future.

21 216. WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory and  
22 punitive damages, together with interest, costs of suit, attorney's fees, and all such other relief as the  
23 Court deems appropriate pursuant to common law and statutory law.

24 **RELIEF REQUESTED**

25 WHEREFORE Plaintiff prays for judgment against Defendants and, as appropriate to each cause  
26 of action alleged and as appropriate to the standing of Plaintiff, as follows:

27 1. Economic and non-economic damages in an amount as provided by law and to be supported by  
28 evidence at trial;

- 1 2. For compensatory damages according to proof;
- 2 3. For declaratory judgment that Defendants are liable to Plaintiff for all evaluative, monitoring,
- 3 diagnostic, preventative, and corrective medical, surgical, and incidental expenses, costs, and losses
- 4 caused by Defendants' wrongdoing;
- 5 4. For disgorgement of profits;
- 6 5. For an award of attorneys' fees and costs;
- 7 6. For prejudgment interest and the costs of suit;
- 8 7. Punitive or exemplary damages according to proof;
- 9 8. Injunctive relief; and
- 10 9. For such other and further relief as this Court may deem just and proper.

11 **DEMAND FOR JURY TRIAL**

12 Plaintiff hereby demands a trial by jury as to all claims in this action.

13 Dated: January 12, 2016

*Breanne V. Cope*

MOTLEY RICE LLC  
Carmen C. Scott, Esq.  
Breanne V. Cope, Esq. [#260217]  
Hayleigh T. Stewart Santra  
28 Bridgeside Blvd.  
Mt. Pleasant, SC 29464  
Telephone: (843) 216-9000  
Facsimile: (843) 216-9450  
cscott@motleyrice.com  
bcope@motleyrice.com  
hstewart@motleyrice.com

Fidelma L. Fitzpatrick, Esq.  
321 S. Main Street, Suite 200  
Providence, RI 02903  
Telephone: (401) 457-7700  
Fax: (401) 457-7708  
ffitzpatrick@motleyrice.com

Erin Copeland, Esq.  
Fibich, Leebron, Copeland, Briggs & Josephson  
1150 Bissonnet Street  
Houston, TX 77005  
Telephone: (713) 751-0025  
ecopeland@fhl-law.com