



MotleyRice<sup>®</sup>  
LLC  
ATTORNEYS AT LAW

**SECURITIES FRAUD LITIGATION  
AND PORTFOLIO MONITORING**



**TRIAL READY.  
SERIOUS RESOURCES.  
INNOVATIVE RESOLUTIONS.**

PROUDLY  
REPRESENTING

**UNIONS SHAREHOLDERS TRUSTEES INVESTORS  
WORKERS FAMILIES VETERANS CONSUMERS**

# WHO WE ARE

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Joe Rice and Ron Motley founded Motley Rice in 2003 with 50 other trial lawyers. Their focus was straightforward.

*If it means going to trial, we will.*

We will aggressively seek justice against defendants in every industry, including the financial sector. That's how our founders achieved the largest civil settlement in litigation history, the \$246 billion Master Settlement Agreement with tobacco companies. Many said it couldn't be done—*our lawyers did it anyway.*

Motley Rice has grown to become one of the nation's largest plaintiffs' law firms with 10 offices and 150 attorneys. We're fighting for the rights of investors, consumers, workers, and government entities, and we are currently lead counsel in the National Opioid Litigation.

Motley Rice brings diverse experience and tenacity to bear in its securities fraud and complex financial cases. Securities cases do not go to trial often, but our attorneys do. We prepare every case as if it will go to trial.

We have a track record of successfully resolving some of the most complex and high stakes civil litigation in the country, resulting in billions of dollars of settlements and jury awards.

*Let us apply our courtroom and negotiation experience to recover plan asset losses due to complex financial fraud*

# NATIONAL RECOGNITION



15 Motley Rice attorneys listed in *Lawdragon 500 Plaintiff Financial Lawyers*

2019 • 2020



34 Motley Rice attorneys recognized in Super Lawyers lists



Securities Class Action Services Top 50

*Institutional Shareholder Services*

2017 • 2016 • 2015 • 2014  
2011 • 2010 • 2009



“Best Law Firm”

*U.S. News – Best Lawyers®*  
2021 Hartford, CT Metro ranked Tier 1 in Banking/Finance

Nationally ranked in Mass tort litigation / class actions–plaintiffs  
2021 • 2020 • 2019 • 2018 • 2017  
2016 • 2015 • 2014 • 2013 • 2012  
2011 • 2010

## Motley Rice attorneys have held numerous leading roles in impact litigation, including:

- Co-lead negotiator of the **largest civil class action settlement in U.S. history** with recovery exceeding **\$10 billion** and counting  
*IN RE OIL SPILL BY THE OIL RIG “DEEPWATER HORIZON” IN THE GULF OF MEXICO ON APRIL 20, 2010*
- One of the Lead Settlement Negotiators of the **largest auto-related consumer class action in U.S. history**  
*IN RE VOLKSWAGEN “CLEAN DIESEL” MARKETING, SALES PRACTICES, AND PRODUCTS LIABILITY LITIGATION*
- Co-lead trial counsel for **\$1.15 billion verdict** on behalf of several municipalities against the lead paint industry  
*THE PEOPLE OF THE STATE OF CALIFORNIA V. ATLANTIC RICHFIELD COMPANY, ET AL*
- Leading role in negotiating the **largest civil settlement in U.S. history** resulting in a **\$246 billion settlement**  
*MASTER SETTLEMENT AGREEMENT WITH BIG TOBACCO*
- Opioids MDL Co-lead Counsel and Negotiating Committee for 1700+ plaintiffs; Counsel for 40+ state and local governments; Lead litigation and trial counsel in bellwether trials
- Hold current leadership roles in 30+ large scale complex litigation cases spanning the country
- Created settlement frameworks for multiple litigation classes

For a complete list of selection methodology, visit [www.motleyrice.com/award-methodology](http://www.motleyrice.com/award-methodology)



*The Legal 500 United States*

Litigation editions

Product liability, mass tort and class action - plaintiff: TIER 1  
2020 • 2019 • 2018 • 2017  
2016 • 2015 • 2014 • 2013  
2012 • 2011 • 2009 • 2007



“Elite Trial Lawyers”

*The National Law Journal*

2020 Pharmaceuticals Firm of the Year  
2020 Insurance Liability Firm of the Year  
2019 Bankruptcy Law  
2015 • 2014



Practice Group of the Year

*Law360*

2020 • 2019 • 2015 Product Liability  
2018 Consumer Protection  
2015 • 2013 “Most Feared Plaintiffs Firm”

Motley Rice represents investors who have suffered significant financial losses from the purchase of stocks, bonds, and complex financial instruments.

Whether you are an industry giant or a small fund, whether your loss was caused by fraud, market manipulation, or breach of contract, we can help recover fund value.

REPRESENTING:

STATE AND MUNICIPAL PENSION FUNDS

HEDGE FUNDS

FOREIGN INSTITUTIONAL INVESTORS

FAMILY OFFICES

MUTUAL FUNDS

UNION PENSION FUNDS

INDIVIDUAL INVESTORS

## NOTABLE SECURITIES CLASS ACTION RECOVERIES

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\$164 million recovery	<i>ALASKA ELECTRICAL PENSION FUND V. PHARMACIA CORP., CONSOL.</i>
\$140 million recovery	<i>IN RE BARRICK GOLD SECURITIES LITIGATION</i>
\$131 million recovery	<i>BENNETT V. SPRINT NEXTEL CORP.</i>
\$85 million recovery	<i>MINNEAPOLIS FIREFIGHTERS' RELIEF ASS'N V. MEDTRONIC, INC.</i>
\$62 million recovery	<i>CITY OF BROCKTON RETIREMENT SYSTEM V. AVON PRODUCTS, INC..</i>
\$60 million recovery	<i>HILL V. STATE STREET CORP.</i>
\$57 million recovery	<i>IN RE HEWLETT-PACKARD CO. SECURITIES LITIGATION</i>
\$50 million recovery	<i>KBC ASSET MANAGEMENT NV V. 3D SYSTEMS CORP.</i>

**MORE THAN \$1 BILLION** IN AGGREGATE RECOVERIES  
**MORE THAN 60** LEAD OR CO-LEAD POSITIONS IN SECURITIES FRAUD CLASS ACTIONS SINCE 2006

COURTS  
RECOGNIZE  
THAT  
SECURITIES  
FRAUD  
CLASS  
ACTIONS ARE  
ESSENTIAL  
CASES

“[The US Supreme Court] has long recognized that meritorious private actions to enforce federal antifraud securities laws are an essential supplement to criminal prosecutions and civil enforcement actions brought, respectively, by the Department of Justice and the Securities and Exchange Commission.”

*Tellabs, Inc. v. Makor Issues & Rights, Ltd.*,  
551 U.S. 28, 313 (2007)

## WHAT WE DO FOR INSTITUTIONAL INVESTORS

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- Monitor securities portfolios
- Advise on merits and loss recovery opportunities for class and opt-out cases
- Evaluate complex financial cases at no charge
- Report quarterly on recent class cases and settlements
- Put our deep bench of trial lawyers to work for you litigating and resolving complex financial cases of all varieties

OUR  
PORTFOLIO  
MONITORING  
SERVICE

- **Helps institutional investors fulfill their fiduciary duties**
- **Serves as an asset recovery tool**
- **Enables clients to make informed decisions about losses caused by fraud**
- **Helps clients protect their investment assets proactively rather than reactively**

## PORTFOLIO MONITORING

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Our portfolio monitoring service is designed to tell investors how pending securities class actions affect them, when settlement funds are available and how to seek a distribution from the settlement fund if they are eligible.

Our goal is not to suggest an investor take legal action in every instance of suspected wrongdoing but to provide the factual and legal insight needed to make informed decisions regarding their legal options.

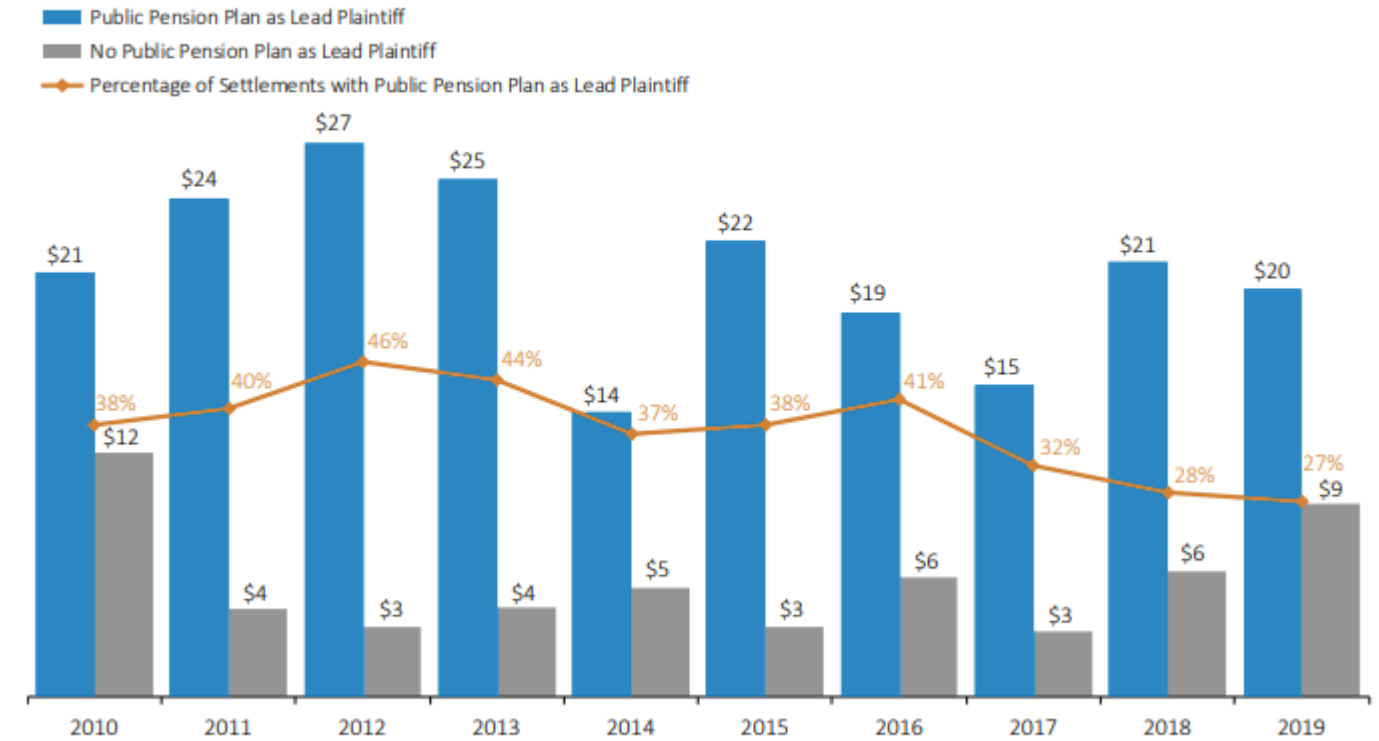
Motley Rice will advise only on cases that matter to our client. We analyze the loss, the merits of the case involved and whether the client serving as lead plaintiff will make a difference.

# IMPORTANCE OF INSTITUTIONAL INVESTORS

Cases with institutional investors named as lead plaintiff to continue to drive more and higher dollar value settlements

Median Settlement Amounts and Public Pension Plans 2010–2019

(Dollars in millions)



Note: Settlement dollars are adjusted for inflation; 2019 dollar equivalent figures are used.

Cornerstone Research | Securities Class Action Settlements—2019 Review and Analysis



**“ONE SIZE”  
DOES NOT  
ALWAYS  
“FIT ALL”  
INVESTORS**

**It is a mistake to assume that securities fraud cases are only about class actions. Sometimes a class case will protect your interests. Sometimes it won't.**

**One thing is certain. No one looks out for your investments as well as you do. Having the right counsel is part of your fiduciary duty.**

## THE VALUE OF OPTING OUT

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Motley Rice advises institutional investors on when to consider opting out of pending class actions in favor of initiating their own direct actions against the same defendant. In certain cases, opt-out litigation may provide significant benefits, which could include:

- Recovering a significant premium over the class action;
- Bringing suit to recover losses from alternative investments, such as in unregistered debt, or any security not included in the class action;
- Collecting any recovery in a significantly shorter time frame than the class action;
- Incorporating additional legal claims not available in securities class actions;
- Exercising greater control over the litigation, including the time period covered, the claims included, the forum chosen, and the settlement terms; and
- Negotiating on a private, confidential basis an agreement tolling the statute of limitations for claims and achieving a recovery without resorting to filing a complaint.

# CONTACT US

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Our lawyers must make a case-by-case assessment of any claims. Prior results do not guarantee a similar outcome. Motley Rice LLC, a South Carolina Limited Liability Company, is engaged in the New Jersey practice of law through Motley Rice New Jersey LLC. Esther Berezofsky attorney responsible for New Jersey practice.  
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